

September 26, 2014

To: Jose Wudka, Chair, UCR Academic Senate

From: Maria Anguiano, Vice Chancellor for Planning & Budget

Subject: The Neil A. and Rochelle A. Campbell Presidential Chair for Innovation in Science Education; for review by the UCR Academic Senate

As Chair Designee of the UCR Committee on Naming Campus Properties, Programs, and Facilities, I am requesting the review and approval by the Academic Senate Executive Council and committees for The Neil A. and Rochelle A. Campbell Presidential Chair for Innovation in Science Education.

This chair has been recommended by the Dean, College of Natural and Agricultural Sciences, Marylynn V. Yates.

Please review the attached request, gift agreement and presidential letter. This proposed name needs approval by the Academic Senate before it is endorsed by the Campus Naming Committee.

We would appreciate a response with the Academic Senate Executive Council's recommendation as soon as possible, preferably within two weeks.

Thank you.





GIFT AGREEMENT BETWEEN
Rochelle A. Campbell, the UC Riverside Foundation
And the University of California, Riverside
To Establish the
Neil A. and Rochelle A. Campbell Presidential Chair for Innovation in Science Education
In the College of Natural and Agricultural Sciences

I. INTRODUCTION

Rochelle A. Campbell wishes to establish the *Neil A. and Rochelle A. Campbell Presidential Chair for Innovation in Science Education* in the College of Natural and Agricultural Sciences (CNAS) with an initial gift of \$500,000 on July 1, 2014 to the UC Riverside Foundation, a California non-profit corporation, with the conditions and purposes contained in this document. The donor's intent is to grow the endowment to the full Chair level over three years, with additional payments of \$500,000 each to be made on July 1, 2015 and July 1, 2016. Funds to establish this Chair endowment (gift funds) will be made through a donor-advised fund at Fidelity.

BACKGROUND

Rochelle A. Campbell, widow of Neil A. Campbell, has been a longtime supporter of science education both in the College of Natural and Agricultural Sciences (CNAS) and elsewhere. Her gifts have made possible the creation of the Neil A. Campbell Science Learning Laboratory in CNAS. Neil A. Campbell ('75 Ph.D., Biology) authored Biology, the most widely used English-language scientific textbook in the world. Dr. Campbell was a visiting scholar in UCR's Department of Biology and Plant Sciences and recipient of UCR's Distinguished Alumnus Award in 2001. He was a widely respected and inspirational teacher of undergraduate sciences.

II. ESTABLISHMENT OF FUND

This fund shall be established when:

- A. The agreement has been reviewed, signed and dated by the donor and an appropriate university official and the initial gift has been received.
- B. Subject to approval through the appropriate policy and procedures of the University of California, Riverside, and the Regents of the University of California, and pending receipt of funds equivalent to minimum requirement for endowed chairs, the Chair will be named the Neil A. and Rochelle A. Presidential Chair for Innovation in Science Education.

- C. Additions to the Fund can be made at any time.

III. PURPOSE AND USE OF ENDOWMENT

A. General Purpose

The expendable distribution from this endowment will provide support for an endowed chair for innovation in science education under the direction of the Dean of the College of Natural and Agricultural Sciences in accordance with established University policies and procedures.

The establishment of the Endowment will comply with current policies of the UC Regents and the University of California Riverside Foundation. If, in the judgment of the Chancellor of UC Riverside, the designated use of endowment payout is impractical or impossible, then the Chancellor may, in consultation with the Donor when possible, use endowment payout for such other purposes at the University of California, Riverside as s/he determines to be consistent with the Donor's interests and intentions.

IV. ADMINISTRATION OF FUND

- A. The chair endowment will be administered in accordance with the UCR Policies and Procedures on Endowed Chairs: Establishment, Administration and Appointment of Faculty.
- B. The Fund's expendable distribution will be determined periodically under the terms of the Endowment Expenditures Policy as established by the UC Riverside Foundation.
- C. Payout from the chair endowment will be transferred to The Regents, to be made available to the chair holder, in support of teaching, research, and service activities under the direction of the Dean of the College of Natural and Agricultural Sciences in accordance with University policy.
- D. Total return earned by the Fund in excess of the amount approved for distribution will be retained in the Fund principal to protect the Fund from the effects of inflation and to allow for growth. Any unexpended distribution from the previous year may be combined with that of the current year for spending purposes or added to the Fund principal.
- E. The principal of the Fund may be combined with other Funds for investment purposes. Fiduciary responsibility for governance and investment of this endowment is vested in the UC Riverside foundation Board of Trustees.
- F. As is customary with universities and other non-profit organizations across the country, a one-time gift fee is applied to each gift in order to provide essential support

to UCR's advancement program. I understand that the fee is currently 5%. In addition, administrative fees will be changed in accordance with UCR policy.

V. STEWARDSHIP

An endowed fund is testament to the value the Donor places on intellectual and scientific achievement. During her lifetime, Donor will receive periodic stewardship reports from the University on the Fund.


The Donor agrees that this fund and her name may be used in University communications.

ACCEPTANCES:



Rochelle A. Campbell

5/20/2014
Date



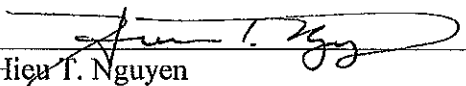
Marylynn V. Yates, Dean of the
College of Natural and Agricultural Sciences

5/22/14
Date



Dallas L. Rabenstein, Executive Vice Chancellor
and Provost, UC Riverside

5/28/14
Date



Hieu T. Nguyen
Associate Vice Chancellor of Development

6/3/14
Date

INITIAL REQUEST FOR APPROVAL TO NAME/ESTABLISH AN ENDOWED CHAIR

This form is to help review gifts for compliance with academic plans and priorities, and to facilitate campus review procedures for namings.

Upon completion of this request form, the Dean/Unit Head forwards it for signature to the Associate Vice Chancellor for Development and Vice Chancellor for University Advancement. The Request, with draft gift agreement and supporting documentation, is then submitted to the Executive Vice Chancellor and Provost and Vice Chancellor for Academic Planning & budget for campus review. If approved for recommendation, the EVC&P's office follows the appropriate procedure for Endowed Chairs.

I. Background Information

A. Submitted by:

Name: **Marylynn V. Yates**

Title, College/Unit: **Dean, College of Natural and Agricultural Sciences**

B. Type of Gift and Comments:

➤ Endowed Chair/Distinguished Professorship: **Endowed Chair**

➤ Location of FTE: **College of Natural and Agricultural Sciences**

C. Proposed name (if any, involving gift): **The Neil A. and Rochelle A. Campbell**

Presidential Chair for Innovation in Science Education

D. Honorific naming (no gift involved): _____

E. Proposed use(s): **To Advance the Mission of the College. Endowment payout will be used to provide the appointed chair holder a scholarly allowance to support research and teaching, as well as to support faculty salary and/or graduate fellowships in the chair holder's department.**

II. Academic Information: (please attach explanation)

A. Academic Justification: Explain how the proposed gift or endowment fits into the college/Unit's Academic Plan.

The College of Natural and Agricultural Sciences, in accordance with UCR policy, will establish the Neil A. and Rochelle A. Campbell Presidential Chair for Innovation in Science Education to further instruction and research in the College.

Undergraduate student success programs are an integral part of CNAS. We currently have two large grants to enable us to include more students in these programs than can be accommodated by current faculty. The creation of this chair will allow CNAS to have a recognized intellectual leader to increase faculty participation in these programs, and to facilitate the development of new programs to foster undergraduate student success.

B. Resources: Describe the resources that will be necessary to support the proposed Chair (e.g., FTE and other funding). Please refer to the College/Unit Academic Plan as appropriate. If the chair is not held by an existing faculty member, a new faculty FTE will be needed to enable the recruitment of a suitable chair holder. However, it is anticipated that a current faculty member will be appointed to the chair so there are no additional resources needed at this time.

III. Contribution Information:

- A. Total amount of private funds expected to be committed (or being discussed):
\$1,500,000 from a single donor.
Form of private contribution(s):
 Outright Gift (Date: _____)
 Written Gift Agreement with Intent to Complete Funding by July 2016
- B. Initial contribution payment expected **\$500,000** by (date) **July 2014**
- C. Source(s) of private contributions:

<u>Donor(s):</u>	<u>Amounts</u>
Fidelity Mutual Donor-Advised	\$1,500,000
Fund Directed by	
Rochelle A. Campbell	
- D. Will this gift/pledge be anonymous, without publicity? Yes No

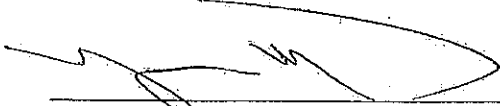
IV. College/UCR/UC Commitment:

- A. Will any **additional** college, campus-wide or system-wide resources be sought/required (e.g., space, special facilities, equipment, etc.)? How will they be funded?
Yes, \$500,000 from the UC Presidential Matching Chairs Fund Initiative. The University of California will establish a matching fund of \$500,000 to be named the Neil A. and Rochelle A. Campbell Presidential Chair for Innovation in Science Education Matching Fund. Collectively, the funds shall be referred to as "Chair Funds".
- B. If Endowed Chair or Professorship, is this a New FTE Existing FTE?
If new, please give reference to your Academic Plan.

V. College/Unit/Faculty/Academic Senate Consultation

This naming has been reviewed by and received approval from the Divisional Deans and Department Chairs/faculty of the College of Natural and Agricultural Sciences affected by the named chair.

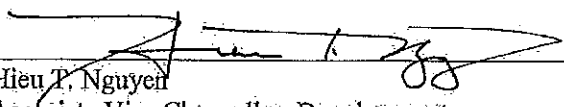
Submitted by:



Marylynn V. Yates, Dean
College of Natural and Agricultural Sciences



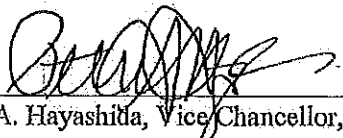
Date



Hieu T. Nguyen
Associate Vice Chancellor, Development



Date



Peter A. Hayashida, Vice Chancellor, University Advancement



Date



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<http://www.ucop.edu>

July 11, 2014

IN STRICT CONFIDENCE

CHANCELLORS

Dear Colleagues:

I am pleased to inform you that I will announce publicly the Presidential Match for Endowed Chairs at the July Board of Regents' meeting.

As you may recall, this new effort allocates \$50 million from the Presidential Endowment Fund to be used as an incentive to attract donations for endowed chairs at every campus.

By leveraging these matching funds, we aim to establish 100 new endowed chairs across the ten-campus system over the next five years. The annual payout from these chairs will include the chair holder's scholarly allowance (the first \$25,000), with the excess used to support faculty salaries and graduate student fellowships. As a result, the chairs will help UC address some of our most critical funding needs.

Each President's match will be \$500,000. Campuses must raise at least \$500,000 per chair in donor funds to qualify for the match, but campuses may require a larger donor "matching gift," if they choose to do so. The first \$40 million (80 chairs) will be allocated equally to each campus. The remaining \$10 million (20 chairs) will be allocated for chairs in areas that I determine are of highest priority, as well as campus need and the ability to attract a match.

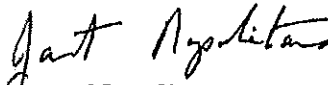
The chairs will be identified as follows: The "[Donor] Presidential Chair for [Subject]."

You can find additional details about how the program will work in the attached term sheet.

As you know, we are committed to doing all we can to develop new models of philanthropy that recognize and honor the interests of our donors while helping UC address its long-term funding needs.

I believe the Presidential Match for Endowed Chairs will help us achieve both of those goals, and I am very pleased to be announcing it next month.

Yours very truly,


Janet Napolitano
President

Attachment

cc: Members, President's Cabinet
Executive Vice Chancellors/Provosts
External Relations Vice Chancellors
Assistant Vice President O'Neill

TERM SHEET: PRESIDENTIAL MATCH FOR ENDOWED CHAIRS

The purpose is to create a matching fund for endowed chairs to support the UC faculty members and graduate students in the chair holder's discipline. The mechanism is expected to provide an incentive for donors to support endowed chairs at all 10 UC campuses.

TITLE	Presidential Match for Endowed Chairs
ALLOCATION	\$50 million from the Presidential Endowment Fund will be used as matching funds to support endowed chairs at every campus. At the start, this will redirect approximately \$2.5 million per year in endowment payout to the campuses to support faculty salaries and graduate fellowships.
FINANCIAL MECHANISM	<p>\$50 million from the Presidential Endowment Fund will be reallocated, pursuant to the President's authority, to a fund functioning as an endowment named the Presidential Matching Chairs Fund.</p> <p>\$40 million of dedicated funds will be committed to each campus (\$4 million per campus).</p> <p>\$10 million of pooled funds may be utilized by campuses once they have matched their allocation. Proposals for additional funds from the pool will be reviewed by the President and approved based on campus need and ability to attract a match.</p> <p>The President's match will each be \$500,000, creating 100 future chairs with this initial allotment.</p> <p>The "pooled fund" chairs will be in areas that the President has determined to be of highest priority. Campuses are encouraged to consult with Office of the President to ensure that proposed chairs fall within these parameters. Campuses must raise at least \$500,000 (per chair) in donor funds to qualify for match. Campuses can choose, at their discretion, to increase the amount of the endowed chair.</p> <p>As the endowed chairs are established, the appropriate matching amount will be transferred to the campus. If the donor has established a Regental chair fund, the Presidential match can be added to that fund. If a Campus Foundation fund is established by the donor, a separate Regental endowed fund will be established with the Presidential match. In this latter instance, payout from both funds would be used to support the endowed chair.</p> <p>Institutional Advancement will work with each campus to ensure that appropriate fund terms are incorporated into the campus' chair template.</p> <p>Campuses will have five years to complete their campaigns to create endowed chairs. Funds not matched within that timeframe will be returned to the Presidential Endowment Fund. Accrued interest in the Presidential Matching Chairs Fund at the end of the timeframe will also be returned to the Presidential Endowment Fund.</p>
OTHER CONSIDERATIONS	<p>The naming convention for these chairs shall be "The [Donor] Presidential Chair for [Subject]"</p> <p>A fixed amount (\$25K for example) shall be used to support the chair holder as a scholarly allowance.</p> <p>The balance of the payout shall support faculty salary and/or graduate fellowships in the chair holder's department.</p> <p>Gifts may be made to either The Regents or to a campus foundation. If made to a campus foundation, however, these funds would need to be separate from The Regents matching funds. The payout from both funds would be used to support the chair.</p> <p>These chairs shall be governed in accordance with APM 191</p>