ANNUAL REPORT

COMMITTEE ON PLANNING & BUDGET

Academic Senate

University of California-Riverside

November 21, 2002

The Planning and Budget Committee met 32 times from September 1, 2001 through July 15, 2002; the average meeting time was approximately 2 hours. In addition the P&B Committee Chair had monthly consultations with the Executive Vice Chancellor David Warren. The Chair of P&B also attended monthly meetings of the Senate System-wide Planning & Budget Committee.

MAJOR COMMITTEE ACTIVITY

Given the rapid expansion that UCR is currently undergoing, the P&B committee felt that it was (and still is) critical for the faculty to actively participate through the Academic Senate in the many planning and budget decisions that will implement the changing scenario of UC-Riverside from 2000 to 2010. Thus, the principal activity of the P&B Committee throughout the entire academic year represented an inaugural approach to its shared governance responsibilities. This project concerned providing advice to the UCR senior administration on the distribution of resources and planning that are defined in our UCR Academic Senate By-Laws.1 The Committee decided to carry out a comprehensive review of the budgets and plans for the 13 Academic and Administrative/Service units that are integral to the general educational endeavor and responsibilities of the University of California Riverside. Our Committee’s advice was to be provided in the form of a detailed written report that would be finished by early June 2002. Based on conversations with the EVC, the P&B Committee expected that the

1 “The Planning & Budget Committee shall provide advice to the Chancellor and represent the Division on matters concerning the distribution of resources on campus. It shall also be the duty of the committee to consider, provide advice and report on such matters of academic planning and resource allocations which may be referred to it by the UCPB, the Academic Council, the Chancellor, the vice Chancellors, the Deans, The Division or by any committee of the Division. [From UCR Senate By-Law 8.18.2]
advice and suggestions of P&B’s several reports would be seriously considered by the Administration prior to arriving at final budget decisions in late June/July 2002.

Accordingly the P&B committee met with the following Unit heads: the Deans of CHASS, CNAS (2X), Engineering, Division of Biomedical Sciences, Graduate School of Education, Anderson Graduate School of Management (2x), Graduate Division, Director of the University Library, Director of Development, the Vice Chancellors for Research Affairs, Student Affairs, Computing & Communications, Extension & Summer Session, University Advancement & Development, the Executive Vice Chancellor (monthly), the Vice Chancellors for Administration, Academic Planning & Budget (2X), and the Associate Executive Vice Chancellor. Each Unit head was invited to make an in depth presentation of their unit activities (for Deans their Academic Plans), including their present and planned budget for the next three years. The priorities of their various proposed budget augmentations for fiscal year 2002/02 was also requested.

In mid June 2002, UCR Academic Senate Planning & Budget committee completed an approximate 80 page Final Report entitled:

“Shared Governance Advice to the Chancellor, Executive Vice Chancellor & Vice Chancellor for Academic Planning & Budget Concerning UCR’s Academic and Administrative Units’ Plans and Budget Requests for Academic Year 2002-2003 (June 11, 2002)

This report contains separate chapters for the 13 Academic and Administrative/Service units that are integral to the general educational endeavor and responsibilities of the University of California Riverside for the fiscal year 2002 – 2003. These reports were preceded by a Summary Statement that iterated in three defined categories of priority (A, B, & C) the topics that P&B considered to be of the highest priority from the senate/faculty perspectives for consideration by the senior Administration of UC-Riverside.

This report is available to the faculty on line at the UCR Senate WEB site http://senate.ucr.edu.

On Friday June 14th, the entire P&B Committee met with the Acting Chancellor, David Warren, the Acting Executive Chancellor, Satish Tripathi, and Vice Chancellor Gretchen Bolar to provide our Committee’s perspectives and recommended priorities for their upcoming budget decisions for the academic year 2002/03.
The Summary Statement for our full report included the following paragraph:

**Summary Statement**

**Prepared by the UCR Academic Senate Planning & Budget Committee**

**June 11, 2002**

**The Riverside Advantage:**

At a time of a troubled state of the California economy and definite budget cuts in the Legislature’s and Governor’s financial support for the University of California, P&B recommends that special emphasis should be placed on identifying and building those departments and programs which are strong and where there is likely to be a good foundation to build on. Stated alternatively, P&B does not support the uniform across-the-board budget cuts to solve UCR’s financial problems.

The advantage of hiring in such departments and in complementary areas of strength is that the new faculty member comes into an environment that is supportive of their research. This encourages collaborations and the results are likely to be more immediate. The chances of a successful hire are greater as well. This contrasts to a situation where a program/department is being built from scratch. These require enormous investments of time and money, commitments of multiple hires, and they can take away resources from the rest of the campus. The time frame for judging of success of such ventures is much longer, and in difficult times squeezing good programs to do this could lead to lasting damage.

(End of Summary)

This SUMMARY was then followed by P&B specific advice on four topics that the Committee considered to be of the very topmost importance; these concerned the following: the UCR library, classroom shortage at UCR, the Anderson Graduate School of Management and the Heckman Center.

On approximately June 28th, the Acting Chancellor, David Warren, disseminated to all the various Unit Heads the decisions regarding their budget allocations and priorities for academic year 2002/03.

On July 24, 2002 the P&B Committee completed its analysis of the Administrations budget decisions in comparison to our ‘advice’ embodied in our June 2002 Report. On July 25, 2002, the P & B committee met with the EVC David Warren, Dean Satish Tripathi and Vice Chancellor Gretchen Bolar to share with the senior administrators our P & B analysis. Our P&B analysis was embodied in a 20 page report
which is also available to the faculty on line at the UCR Senate WEB site http://senate.ucr.edu. The report was titled:

“UCR Senate Planning & Budget Committee:  
Comparison of Administration Budget Decisions  
With  
P&B Recommendations”  
(July 25, 2002)

Concerning the top four P&B priority areas listed above (library, class room shortage, Heckman Center, AGSM), the Committee observed the following in its presentation to the senior administration:

Library: The P&B Committee was particularly appreciative of the significant augmentation of the budget for the library for fiscal year 2002/03.

Classroom Shortage: We urge that the Administration actively seek augmentation of the capital budget so that serious issues of classroom shortage (particularly small and medium size) can be addressed in the years ahead.

Heckman Center: Concerning the Heckman Center and the significant financial obligations that the Administration has allocated, largely without serious consultation with the Academic Senate, the P&B Committee requests that the Administration create a joint administration/faculty oversight committee with a very significant faculty membership. We continue our request that the Administration submit their plans for the Heckman Center for review and critique by the P&B committee.

AGSM: P&B continues to have serious concerns about the AGSM and the serious financial demands that it places on the general campus budget in a time of reduced state financial support.

General Comment: It was also the consensus of the P&B members that the process of shared governance, as described above, worked quite well. The Committee developed a new respect for the complexity of the Administration’s decision process with regard to financial allocations, which must balance many complex variables relative to the academic planning process and at the same time, the development of UCR’s physical plant to a size that can accommodate 25,000 students. As with all new activities of this scope, there can be improvements, particularly with regard to generating the final P&B report by the end of May. The P&B Committee is appreciative of the willingness of all the Deans and Unit Heads to provide the significant time and effort required to prepare their detailed reports and to engage in lengthy meetings of discussion.
AUGUST 2002 P & B OBSERVATION

In spite of the positive feelings of shared governance described above, P&B found in July and August of 2002 that there were still topics of serious interest to the faculty that had not been covered in the many hours of consultation. These included the Administrations decisions announced in late August / early September to (a) impose a mandatory monthly charge on Principal Investigators for internet access and (b), a significant change in the policy regarding STIP (Short Term Interest Policy) on faculty various donors accounts.

OTHER COMMITTEE ACTIVITIES

In addition, through the past academic year, the P&B Committee received the following projects for ‘comment and opinion’;

Three Proposed FTE Transfers in CHASS (Oct. 19. 2002);

AGSM proposal for the International MS Financial Management Degree Program (February 10, 2002);

Proposal for the Disestablishment of the Department of Physical Education (February 27, 2002);

Proposal to Request Discontinuance of the CHASS Human Development Program (March 18, 2002);

Proposal for a Joint Undergraduate Degree Program in Environmental Sciences between University of California, Riverside and California State University, Fresno (May 22, 2002)

Prepared by the Chair on behalf of the P&B Committee:

Planning & Budget Committee Members (2001 – 2002):

Jonathan Turner, Sociology
Michael Rust, Entomology
Alula Venkatram, Mechanical Engineering
Frank Gresham, Education
Ray Kea, History
Vyjayanthi Chari, Mathematics
Stephen Minot, Creative Writing
Deborah Wong, Music
Tony Norman, Chair Biochemistry & Biomedical Sciences