April 14, 2015

To: Paul D’Anieri  
Provost and Executive Vice Chancellor

From: Jose Wudka, Chair  
Riverside Division

Re: Review of the Proposed Endowed Chairs

At its April 13 meeting Executive Council reviewed and approved the following proposals to establish Endowed Chairs:

- The Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics in the Graduate School of Education
- A. Gary Anderson Family Foundation Presidential Chairs in Business Administration
- The Urban Entomology Endowed Presidential Chair

In addition, Executive Council approved The Logistics Team Presidential Chair in Supply Chain Management provided the statement received by Dean Yunzeng Wang, in which he asserts the intellectual independence of the Chair’s scholarly activities, is included on the Initial Request form.
INITIAL REQUEST FOR APPROVAL TO NAME/ESTABLISH AN ENDOWED CHAIR

This form is to help review gifts for compliance with academic plans and priorities, and to facilitate campus review procedures for namings.

Upon completion of this request form, the Dean/Unit Head forwards it for signature to the Associate Vice Chancellor, Development and Vice Chancellor, University Advancement. The Associate Vice Chancellor, Development or designee will submit the request, with draft gift agreement and supporting documentation to the Executive Vice Chancellor and Provost and Vice Chancellor for Academic Planning & Budget for campus review. If approved for recommendation, the EVC&P’s Office follows the appropriate procedure for Endowed Chairs.

I. Background Information:
   A. Submitted by:
      Name: Dean Thomas Smith
      Title, College/Unit: Graduate School of Education (GSOE)
   B. Type of Gift and Comments:
      ▶ Endowed Chair/Distinguished Professorship: Endowed Presidential Chair
      ▶ Location of FTE: Graduate School of Education (GSOE)
   C. Proposed name (if any, involving gift): The Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics
   D. Honorific naming (no gift involved): N/A
   E. Proposed use(s): To advance the mission of the Graduate School of Education. A portion of the endowment payout will be used to provide the appointed chair holder a scholarly allowance to support research and teaching. Also, a portion of the endowment payout will also be used to support faculty salary and/or graduate fellowships in the chair holder’s department.

II. Academic Information: (please attach explanation)
   A. Academic Justification: Explain how the proposed gift or endowment fits into the College/Unit’s Academic Plan.
      Please see attached academic justification
   B. Resources: Describe the resources that will be necessary to support the proposed Chair (e.g., FTE and other funding.) Please refer to the College/Unit Academic Plan as appropriate.

III. Contribution Information:
   A. Total amount of private funds expected to be committed (or being discussed):
      $500,000
   B. Form of private contribution(s):
      ✗ Outright Gift (Date: 2000*)
      ○ Written Pledge
   C. Initial contribution/pledge payment expected $__________ by (date) ________.
   D. Source(s) of private contribution(s):
      Donor(s) Amount(s)
      Dr. Jo Dutton and Mr. Ted Dutton $500,000

   E. Will this gift/pledge be anonymous, without publicity? ○Yes  ✗ No

*In 2000, Pacific Enviro Design, a California general partnership, gifted real estate to GSOE. The funds that came from the sale of that gift were not designated for a specific purpose and had been placed in a GSOE account. Some of the funds had been used at the dean’s discretion, as per the gift agreement, and $500,000 remains in the account. Former Interim Dean Mitchell recently initiated discussions with Mr. Ted Dutton, the managing general partner of Pacific Enviro Design (please see attached documentation), about
creating an endowed chair named after Mr. Ted Dutton and his wife, Dr. Jo Dutton, (an alumna of GSOE), and they agreed to allow GSOE to name the fund after them.

IV. College/UCR/UC Commitment:
A. Will any additional college, campus-wide or system-wide resources be sought/required (e.g., space, special facilities, equipment, etc.)? How will they be funded?
   Yes, $500,000 from the UC Presidential Matching Chairs Fund Initiative. The University of California will establish a matching fund of $500,000 to be named the Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics Matching Fund. Collectively, the funds shall be referred to as “Chair Funds.”

B. Is Endowed Chair or Professorship, a new FTE? Yes
   If new, please give reference to your Academic Plan: Please see attached academic justification.

V. College/Unit/Faculty/Academic Senate Consultation
This naming has been reviewed by and received approval from the faculty of the (specific department/school/unit) Graduate School of Education affected by the named chair.

Submitted by:

[Signature]
Dean/Unit Head

[Signature]
Associate Vice Chancellor, Development

[Signature]
Vice Chancellor, University Advancement

9-12-15
Date

September 9, 2014
Date

9/16/14
Date

Send completed request form with:
- draft gift document and
- all supporting information

to Associate Vice Chancellor, Development, 1100 Hinderaker Hall, Campus.
Academic Justification for the
Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics

The Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics will enable the Graduate School of Education (GSOE) to attract a senior faculty member in education policy and politics. Education policy and politics are mission critical disciplines in any school of education. Expertise in this area is important to the realization of the GSOE 2020 plan for pursuing an interdisciplinary research program in cooperation with other UCR professional school's. The linkage with the School of Public Policy’s Masters in Public Policy, Education Emphasis is a particularly important point of contact and cooperation requiring policy and politics skills. GSOE also needs to add a faculty member in this area to fill a critical gap in our academic offerings to our graduate and undergraduate students.
January 27, 2015

To: Dean Thomas Smith

From: John S. Levin, Chair, Executive Committee, Graduate School of Education

Subject: The Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics, in the Graduate School of Education

The GSOE Executive Committee was consulted on the above matter of an endowed chair in Education Policy and Politics. On behalf of the faculty of GSOE, the Executive Committee supports the establishment of The Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics, in the Graduate School of Education. The Duttons have strong ties to education policies and politics that affect California. They also have an interest in policies directly related to Inland Southern California. Dr. Dutton is an alumnus of GSOE and Mr. Dutton served on the UC Riverside Foundation of Trustees. Their generous gift will allow GSOE to support education policy research focused upon California.

John S. Levin
Fund Agreement between  
Dr. Jo Dutton and Mr. Ted Dutton  
and the UNIVERSITY OF CALIFORNIA  
to establish  

The Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics  
In the Graduate School of Education  

I. INTRODUCTION

In 2000, Pacific Enviro Design, a California general partnership (the “Donor”), gifted real estate (the “Gift”) to The Regents of the University of California, the proceeds from which were to benefit the UCR Graduate School of Education (GSOE). The funds that came from the sale of the Gift were not designated for a specific purpose at GSOE and were, therefore, allocated to a general GSOE gift account. Some of the funds have been expended at the dean’s discretion, as per the gift agreement, and $500,000 remains in the account. Former Interim Dean Mitchell recently initiated discussions with Mr. Ted Dutton, the managing general partner of Pacific Enviro Design. It was agreed that an endowment would be created to support an endowed chair and it would be named after Mr. Ted Dutton and his wife, Dr. Jo Dutton, (an alumna of GSOE). The general partners of Pacific Enviro Design have been informed of the creation and naming of this chair and have supported the decision. Thus, $500,000 of the gift funds will be used to establish the Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics Fund. The University of California will establish a matching fund of $500,000 to be named the Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics Matching Fund. Collectively, the funds shall be referred to as “Chair Funds”.

The intent of the Chair is to be able to recruit and retain an outstanding scholar in education and policy. The term of the chair holder shall be renewable. The appointment shall be for an initial 3-year period and may be renewed for up to 5-year periods.

BACKGROUND

Dr. Jo Sargent Dutton, Ph.D. received her B.S. in Fine Art and her M.S. in Education at the University of Southern California. She and Dr. Charles Brown organized and ran the National Charity League-University of Southern California (NCL-USC) Reading Center in Hollywood. During that year, Dr. Dutton was classified as the youngest full-time professor ever to work in the USC School of Education. She then went on to work in Culver City, Santa Monica, Los Angeles, and San Marino school districts plus Chaffey Community College. After receiving her doctoral degree from the University of California Riverside, she worked in Sacramento helping to organize and set up the California Virtual University as a special Governor’s project. She was also employed by California Baptist College and UC Riverside.

She has served on the boards of the Rancho Cucamonga Foundation, the Inland Empire Arts Foundation, the San Bernardino Art Council, and as a Commissioner to the San Bernardino County Museum where she co-founded the Wildlife Art Festival. She has been a member of the John Tracy Clinic Women’s Auxiliary, the Ontario Museum Association, the Shakespeare Club, the Chaffey Art Association, and Sister Cities International. In addition, she has been an active member of various political campaigns from 1960 to the present day, including serving as the West Los Angeles Chairman of Dr. Hayakawa’s senatorial campaign.

Mr. Dutton previously held the position of executive officer of the Office of Public School Construction (OPSC) and the State Allocation Board (SAB) in the administrations of Governors Pete Wilson and Gray Davis. He
served three years as Chairman of the Employment Training Panel for the State of California and one year on the California State Fish and Game Commission.

Mr. Dutton's other affiliations include: Cal State San Bernardino Foundation Board of Directors; Chairman, San Bernardino County Private Industry Council; President, Baldy View Building Industry Association; Board of Directors, National Association of Home Builders; President, Ontario Chamber of Commerce; Inland Empire Lincoln Club; appointed two times to White House Conference on Small Business by Congressman Jerry Lewis (1995) and by Congressman George Brown (1990). He currently serves on the Board of Directors for Prime Health Care. He was elected to the Arrowhead Lake Association and served as vice president and later was elected president. Mr. Dutton served in the U.S. Army and National Guard and was honorably discharged after being commissioned as a 2nd lieutenant. Mr. Dutton served on the UC Riverside Foundation Board of Trustees from December 1994 to June 2008.

Dr. Jo Dutton and Mr. Ted Dutton are the proud parents to seven children, grandparents to twelve grandchildren, and great-grandparents to seven great-grandchildren.

II. ESTABLISHMENT OF FUNDS

A. Subject to approval of the Gift qualifying for the Presidential Chair matching funds program, through the appropriate policies and procedures of the University of California, Riverside, and The Regents of the University of California, and pending receipt of funds from UCOP as described below, Chair Funds shall be used to establish the Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics (the “Chair”).

B. The Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics Fund, a true endowment to be held by the Regents, shall be established when this memo has been reviewed, signed and dated by the Donor and an appropriate university official, and the gift funds have been transferred to the endowment.

C. The Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics Matching Fund, a fund functioning as an endowment to be held by the Regents, shall be established upon formal approval of the Chair by the President of the University.

D. Additions to the Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics Fund can be made at any time.

III. PURPOSE AND USE OF CHAIR FUND

A. General Purpose

The expendable distribution from the Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics Fund shall be used to support faculty salary and/or graduate fellowships in the chair holder’s department.

The expendable distribution from the Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics Matching Fund shall be used to provide the appointed chair holder a scholarly allowance to support research and teaching.

Distributions shall be made under the direction of the Dean of the Graduate School of Education in accordance with established UCR policies and procedures.
The establishment of the Chair Funds will comply with current policies of the UC Regents. If, in the judgment of the Chancellor UCR, the designated use of the Chair Funds payout is impractical or impossible, then the Chancellor may, in consultation with the Donor, when possible, use the Chair Funds’ payout for such other purposes at the University of California, Riverside, as the Chancellor determines to be consistent with the Donor’s interests and intentions.

IV. ADMINISTRATION OF FUNDS

A. The Chair Funds will be administered in accordance with UCR Policies and Procedures on Endowed Professorships: Establishment, Administration, and Appointment of Faculty.

B. The Chair Funds’ expendable distribution will be determined periodically under the terms of the Endowment Expenditure Policy as established by The Regents of the University of California.

The total return earned by the Chair Funds in excess of the amount approved for distribution shall be retained in the Chair Funds’ principal to protect the Chair Fund from the effects of inflation and to allow for growth. Any unexpended distributions from the previous year may be combined with that of the current year for spending purposes or added to the Chair Funds’ principal.

The principal of the Chair Funds may be combined with other funds for investment purposes.

Fiduciary responsibility for governance and investment of the Chair Funds is vested in the Chief Investment Officer of the Regents.

C. As is customary with universities and other non-profit organizations across the country, a one-time gift fee is applied to each gift in order to provide essential support to UCR’s advancement program. We understand that the fee is currently 5%. In addition, after the one-time fee has been satisfied, administrative fees will be charged in accordance with UCR policy.

V. STEWARDSHIP

The Ted and Jo Dutton Endowed Presidential Chair for Education Policy and Politics is testament to the value the Donor places on the long-term sustainability of the Graduate School of Education and its faculty. The Donor will receive periodic stewardship reports from the University on the Fund and regarding activities associated with the Chair.

The Donor agrees that details regarding this Chair and its name may be used in University communications.

ACCEPTANCES:

Ted Dutton  
Managing General Partner, Pacific Enviro Design  

Hieu Nguyen for the  
University of California  

Thomas M. Smith  
Dean, Graduate School of Education
CONFIRMATION OF STATEMENT OF AUTHORITY  
FOR MANAGING GENERAL PARTNER OF PACIFIC ENVIRO DESIGN

The undersigned hereby declare and agree as follows:

1. The name of this partnership is Pacific Enviro Design, which is a California general partnership.

2. The uncensored partners constitute all of the general partners of the partnership:

   Jacob Y. Terner
   Ted W. Dutton
   Robert D. Dutton
   Leo D. Lagasse; and
   Thomas Maloof

3. As provided more specifically in Section 7.1 of the Partnership Agreement for this Partnership dated as of April 26, 1990, as subsequently amended, those general partners who have signed below represent a majority in interest of the general partners of the partnership. The undersigned confirm that in accordance with said Section 7.1, the undersigned appoint and designate Ted W. Dutton as the managing general partner of the Partnership. As managing general partner, Ted W. Dutton shall be empowered to conduct the day-to-day business and affairs of this Partnership.

Each of the uncensored declare that: (i) he or she is a general partner of the Partnership, (ii) that he or she has read the foregoing, know the contents thereof and hereby verify and certify that the same are true and correct of his or her own knowledge, and (iii) that this Authorization has been approved by a majority in interest of the general partners of the Partnership.

Dated for reference purposes as of July 15, 2014.

Robert D. Dutton

Jacob Y. Terner

Ted W. Dutton

Leo D. Lagasse

Thomas Maloof
CONFIRMATION OF STATEMENT OF AUTHORITY
FOR MANAGING GENERAL PARTNER OF PACIFIC ENVIRO DESIGN

The undersigned hereby declare and agree as follows:

1. The name of this partnership is Pacific Enviro Design, which is a California general partnership.

2. The undersigned partners constitute all of the general partners of the partnership:
   Jacob Y. Terner
   Ted W. Dutton
   Robert D. Dutton
   Leo D. Lagasse; and
   Thomas Maloof

3. As provided more specifically in Section 7.1 of the Partnership Agreement for this Partnership dated as of April 26, 1990, as subsequently amended, those general partners who have signed below represent a majority in interest of the general partners of the partnership. The undersigned confirm that in accordance with said Section 7.1, the undersigned appoint and designate Ted W. Dutton as the managing general partner of the Partnership. As managing general partner, Ted W. Dutton shall be empowered to conduct the day-to-day business and affairs of the Partnership.

   Each of the undersigned declare that: (i) he or she is a general partner of the Partnership, (ii) that he or she has read the foregoing, know the contents thereof and hereby verify and certify that the same are true and correct of his or her own knowledge, and (iii) that this Authorization has been approved by a majority in interest of the general partners of the Partnership.

Dated for reference purposes as of July 15, 2014.

[Signatures]

Robert D. Dutton

Ted W. Dutton

Leo D. Lagasse

Thomas Maloof
CONFIRMATION OF STATEMENT OF AUTHORITY
FOR MANAGING GENERAL PARTNER OF PACIFIC ENVIRO DESIGN

The undersigned hereby declare and agree as follows:

1. The name of this partnership is Pacific Enviro Design, which is a California general partnership.

2. The undersigned partners constitute all of the general partners of the partnership:

   Jacob Y. Terner
   Ted W. Dutton
   Robert D. Dutton
   Leo D. Lagasse; and
   Thomas Maloof

3. As provided more specifically in Section 7.1 of the Partnership Agreement for this Partnership dated as of April 26, 1990, as subsequently amended, those general partners who have signed below represent a majority in interest of the general partners of the partnership. The undersigned confirm that in accordance with said Section 7.1, the undersigned appoint and designate Ted W. Dutton as the managing general partner of the Partnership. As managing general partner, Ted W. Dutton shall be empowered to conduct the day-to-day business and affairs of this Partnership.

   Each of the undersigned declare that: (i) he or she is a general partner of the Partnership, (ii) that he or she has read the foregoing, know the contents thereof and hereby verify and certify that the same are true and correct of his or her own knowledge, and (iii) that this Authorization has been approved by a majority in interest of the general partners of the Partnership.

   Dated for reference purposes as of July 15, 2014.

   [Signatures]

   Robert D. Dutton
   Ted W. Dutton
   Jacob Y. Terner
   Leo D. Lagasse
   Thomas Maloof
INITIAL REQUEST FOR APPROVAL TO NAME/ESTABLISH AN ENDOWED CHAIR

This form is to help review gifts for compliance with academic plans and priorities, and to facilitate campus review procedures for namings.

Upon completion of this request form, the Dean/Unit Head forwards it for signature to the Associate Vice Chancellor, Development and Vice Chancellor, University Advancement. The Associate Vice Chancellor, Development or designee will submit the request, with draft gift agreement and supporting documentation to the Provost and Executive Vice Chancellor and Vice Chancellor for Planning & Budget for campus review. If approved for recommendation, the P&EVC’s Office follows the appropriate procedure for Endowed Chairs.

I. Background Information:
   A. Submitted by:
      Name: Yunzeng Wang
      Title, College/Unit: Dean, School of Business Administration
   B. Type of Gift and Comments:
      - Endowed Chair/Distinguished Professorship: Endowed Presidential Chairs
      - Location of FTE: School of Business Administration
   C. Proposed name (if any, involving gift): The A. Gary Anderson Presidential Chairs in Business Administration (three Chairs)
   D. Honorific naming (no gift involved):
   E. Proposed use(s): Scholarly allowances for three professors in the School of Business Administration, as well as support for faculty salaries and/or graduate fellowships in the chair holders’ departments.

II. Academic Information: (please attach explanation)
   A. Academic Justification:
      The School of Business Administration is currently in the process of significantly increasing the number of ladder faculty. These endowed chairs will enhance the Dean’s ability to recruit and retain top-tier faculty.
   B. Resources: Describe the resources that will be necessary to support the proposed Chair (e.g., FTE and other funding.) Please refer to the College/Unit Academic Plan as appropriate.
      The School of Business Administration has a plan to double the number of ladder faculty.

III. Contribution Information:
   A. Total amount of private funds expected to be committed (or being discussed):
      $1,500,000
   B. Form of private contribution(s):
      ○ Outright Gift (Date: ____________________)
      x Written Pledge (Expected beginning date: Pledge signed November 12, 2014)
   C. Initial contribution/pledge payment expected $300,000 by December 1, 2014.
   D. Source(s) of private contribution(s):
E. Will this gift/pledge be anonymous, without publicity? ☐ Yes x No

IV. College/UCR/UC Commitment:
A. Will any additional college, campus-wide or system-wide resources be sought/required (e.g., space, special facilities, equipment, etc.)? How will they be funded?

Yes, $1,500,000 from the UC Presidential Matching Chairs Fund Initiative. The University of California will establish three matching funds of $500,000 to be named The A. Gary Anderson Presidential Chair in Business Administration Matching Funds. Collectively, the funds shall be referred to as “Chair Funds”.

B. If Endowed Chairs or Professorship, are these ☐ New FTE x Existing FTEs? If new, please give reference to your Academic Plan: ____________________________

V. College/Unit/Faculty/Academic Senate Consultation
This naming has been reviewed by and received approval from the faculty of the School of Business Administration affected by the named chair.

Submitted by:

Yunzeng Wang, Dean
School of Business Administration

Hieu T. Nguyen
Associate Vice- Chancellor, Development

Peter A. Hayashida
Vice Chancellor, University Advancement

Date

Send completed request form with:
- draft gift document and
- all supporting information to Associate Vice Chancellor, Development, 1100 Hinderaker Hall, Campus.
Biographical Information for
A. Gary Anderson and the A. Gary Anderson Family Foundation

Erik Anderson and his sister, Erin Anderson Lastinger established the A. Gary Anderson Family Foundation in 1992 to honor their late father, A. Gary Anderson. The elder Mr. Anderson served as chairman and CEO of Directors Mortgage Loan Corporation, one of the nation’s largest lending firms. In 1993, the foundation pledged $5 million to UCR to name the A. Gary Anderson Graduate School of Management (AGSM). In 2007, the foundation made an additional $3 million pledge to AGSM. Both pledges have been fulfilled. In 2014 the Foundation pledged an additional $2.5 million to UCR to fund three presidential endowed chairs in AGSM and to provide scholarship support for local students enrolling in one of the School of Business Administration’s graduate programs.

A 24-year veteran of the mortgage banking and real estate industries, Gary Anderson purchased Directors Mortgage in 1976 when it had four branch offices and 105 employees. The company grew to more than 90 offices and 1,800 employees and in 1991 was the nation's 15th-largest residential lender with $3.85 billion in loans. Anderson, who was Directors' chairman and chief executive officer, was honored as the Inland Empire's 1992 entrepreneur of the year.

Anderson was chairman of the Children's Fund of San Bernardino County, a charitable organization he founded, and was a founding member of the Inland Empire Economic Council. He was also board chairman and a major shareholder of Allmark Inc., a development and property management firm in Rancho Cucamonga.

He sat on the advisory board of the Federal National Mortgage Assn. and the board of governors of the Mortgage Bankers Assn. of America and was president of the Inland Empire Mortgage Bankers Assn. in 1973 and of the California Mortgage Bankers Assn. in 1989.
The A. Gary Anderson Presidential Chairs in Business Administration (three Chairs)

Chairs Term Limits - Five years and renewable per standard terms of UCR Policy and Procedures on Endowed Chairs and Professorships

Chair Holders Intellectual Independence – The intellectual independence of the chair holders’ scholarly activities is assured
Hi Jeff,

The School of Business Administration is pleased that funding has been provided by the A. Gary Anderson Family Foundation to establish three new endowed chairs in Business Administration. The School of Business Administration faculty have been consulted regarding these chairs and recommend their approval.

Thanks,
Yunzeng
Gift Agreement between the A. GARY ANDERSON FAMILY FOUNDATION, the UC RIVERSIDE FOUNDATION and the UNIVERSITY OF CALIFORNIA To Establish the A. GARY ANDERSON FAMILY FOUNDATION PRESIDENTIAL CHAIRS IN BUSINESS ADMINISTRATION

I. INTRODUCTION

The A. Gary Anderson Family Foundation (Foundation) pledges irrevocably to give the UC Riverside Foundation, a California nonprofit corporation, with the conditions and purposes contained in this document, cash or marketable securities having a fair market value on the dates of the gifts in the aggregate amount of $1,500,000 to establish three true endowments each in the amount of $500,000 in support of three Presidential Chair Funds: The A. Gary Anderson Family Foundation Endowed Presidential Chair in Business Administration Fund I; The A. Gary Anderson Family Foundation Endowed Presidential Chair in Business Administration Fund II; The A. Gary Anderson Family Foundation Endowed Presidential Chair in Business Administration Fund III. The University of California will establish three matching funds of $500,000 to be named The A. Gary Anderson Family Foundation Presidential Chair in Business Administration Matching Fund I; The A. Gary Anderson Family Foundation Presidential Chair in Business Administration Matching Fund II; The A. Gary Anderson Family Foundation Presidential Chair in Business Administration Matching Fund III. Collectively, the funds shall be referred to as "Chair Funds."

BACKGROUND

At the conclusion of the University of California, Riverside’s first-ever comprehensive campaign culminating in 2020 it is the goal of the A. Gary Anderson Graduate School of Management (AGSM) to be ranked among the top 50 business schools in the nation, having expanded its impact regionally, nationally and internationally. This will be accomplished in several ways: 1) continuing to grow the enrollment in AGSM, 2) increasing the size and enhancing the quality of the faculty, and 3) launching research centers that will serve our region’s businesses and business leaders as well as provide training for future generations of entrepreneurs.

The University of California, Riverside and the School of Business Administration are grateful for the partnership with the Anderson family, proudly carrying the name Anderson Graduate School of Management for 20 years. The institution is particularly grateful to the Foundation for making a lead gift of $2,500,000 supporting the 2020 campaign, part of which will fund the A. Gary Anderson Family Foundation Endowed Presidential Chairs in Business Administration as well as the AGSM Scholars Initiative supporting Inland Southern California students.
II. ESTABLISHMENT OF FUNDS

A. Subject to approval through the appropriate policies and procedures of the University of California, Riverside, and the Regents of the University of California, and pending receipt of funds as described below, Chair Funds shall establish three A. Gary Anderson Family Foundation Endowed Presidential Chairs in Business Administration ("Chairs").

B. The A. Gary Anderson Family Foundation Endowed Presidential Chairs in Business Administration, three true endowments to be held by the UC Riverside Foundation, shall be established when this memo has been reviewed, signed and dated by the Donor and an appropriate university official and the 2014 pledge payment has been received. The Donor agrees to make payments of $250,000 annually for six years beginning on or before December 31, 2014, with the final payment on or before December 31, 2019. Donor understands that the University will send reminder notices in accordance with this schedule.

C. The A. Gary Anderson Family Foundation Presidential Chair in Business Administration Matching Funds, three funds functioning as endowments to be held by The Regents, shall be established and funded upon formal approval of the Chairs by the President of the University.

D. In the unlikely event that The A. Gary Anderson Family Foundation Presidential Chairs in Business Administration Funds do not meet the pledged amount, the Chancellor of UCR is authorized to redesignate the purpose of the funds, taking into consideration Donor’s expressed wishes as to the designated purposes described in this document. Any University matching funds will be returned and the Chairs will not be established.

E. The UC Riverside Foundation advises the A. Gary Anderson Family Foundation to obtain tax advice regarding this gift. In recognition of UCR’s intent to rely upon this commitment, this pledge is a binding legal obligation and enforceable against Donor’s assets.

F. Additions to the Funds can be made at any time.

III. PURPOSE AND USE OF CHAIR FUNDS

A. General Purpose
The expendable distributions from the A. Gary Anderson Family Foundation Endowed Presidential Chair in Business Administration Funds shall be transferred to The Regents and used to support faculty salaries and/or graduate fellowships in the chair holders’ departments. The expendable distributions from the A. Gary Anderson Family Foundation Presidential Chair in Business Administration Matching Funds shall be used to provide the appointed chair holders scholarly allowances to support research and teaching. Distributions shall be
made under the direction of the Dean of the UCR School of Business Administration in accordance with established UCR policies and procedures.

The establishment of the Chair Funds will comply with current policies of the UC Regents and the UC Riverside Foundation. If, in the judgment of the Chancellor, the designated uses of the Chair Funds payouts are impractical or impossible, then the Chancellor may, in consultation with the Donor, when possible, use the Chair Funds payouts for such other purposes at the University of California, Riverside as the Chancellor determines to be consistent with Donor’s interests and intentions.

IV. ADMINISTRATION OF FUNDS
A. The Chair Funds will be administered in accordance with the UCR Policies and Procedures on Endowed Professorships: Establishment, Administration, and Appointment of Faculty.

B. The Chair Funds’ expendable distributions will be determined periodically under the terms of the Endowment Expenditure Policy as established by the UC Riverside Foundation and The Regents of the University of California.

Total return earned by the Chair Funds in excess of the amount approved for distribution shall be retained in the Chair Funds’ principal to protect the Chair Funds from the effects of inflation and to allow for growth. Any unexpended distributions from the previous year may be combined with that of the current year for spending purposes or added to the Chair Funds’ principal.

The principal of the Chair Funds may be combined with other Funds for investment purposes.

Fiduciary responsibility for governance and investment of the Chair Funds is vested in the UC Riverside Foundation Board of Trustees and the Chief Investment Officer of The Regents.

C. As is customary with universities and other non-profit organizations across the country, a one-time gift fee is applied to each pledge payment (gift) in order to provide essential support to UCR’s advancement program. We understand that the fee is currently 5%. In addition, after the one-time fee has been satisfied, administrative fees will be charged in accordance with UCR policy.

V. STEWARDSHIP
The A. Gary Anderson Family Foundation Endowed Presidential Chair Funds are a testament to the value the Donor places on the long-term sustainability of the A. Gary Anderson Graduate School of Management (AGSM) and faculty achievement. The Donor will receive periodic stewardship reports from the University on the Funds.

The Donor agrees that these Funds and their names may be used in University communications.
ACCEPTANCES:

Erik Anderson  
President and COO, A. Gary Anderson Family Foundation  

Kim A. Wilcox for the  
University of California and the UC Riverside Foundation  

Hieu Nguyen for the  
University of California and the UC Riverside Foundation  

Yunzeng Wang  
Dean, School of Business Administration  

11/6/15  
Date  

11/12/14  
Date  

November 12, 2014  
Date  

11/12/2014  
Date
INITIAL REQUEST FOR APPROVAL TO NAME/ESTABLISH AN ENDOWED CHAIR

This form is to help review gifts for compliance with academic plans and priorities, and to facilitate campus review procedures for namings.

Upon completion of this request form, the Dean/Unit Head forwards it for signature to the Associate Vice Chancellor, Development and Vice Chancellor, University Advancement. The Associate Vice Chancellor, Development or designee will submit the request, with draft gift agreement and supporting documentation to the Provost and Executive Vice Chancellor and the Vice Chancellor for Academic Planning & Budget for campus review. If approved for recommendation, the P&EVC’s Office follows the appropriate procedure for Endowed Chairs.

I. Background Information:
   A. Submitted by:
      Name: Mandyynn V. Yates
      Title, College/Unit: Dean, College of Natural and Agricultural Sciences
   B. Type of Gift and Comments:
      ➢ Endowed Chair/Distinguished Professorship: Endowed Presidential Chair
      ➢ Location of FTE: College of Natural and Agricultural Sciences
   C. Proposed name (if any, involving gift): The Urban Entomology Endowed Presidential Chair
   D. Honorific naming (gift/no gift involved): N/A
      * Attach: confirmation that the honoree (if living) or honoree’s family (if honoree is deceased) has agreed to the naming.
   E. Proposed use(s): To advance the mission of the college. A portion of the endowment payout will be used to provide the appointed chair holder a scholarly allowance to support research and teaching. Also, a portion of the endowment payout will also be used to support faculty salary and/or graduate fellowships in the chair holder’s department.

II. Academic Information: (please attach explanation)
   A. Academic Justification: Explain how the proposed gift or endowment fits into the College/Unit’s Academic Plan.
   B. The intellectual independence of the chair holder’s scholarly activities is assured.
   C. Resources: Describe the resources that will be necessary to support the proposed Chair (e.g., FTE and other funding.) Please refer to the College/Unit Academic Plan as appropriate.
   D. Chair Term Limits:
      X Five Years and Renewable (Standard terms per UCR Policy and Procedures on Endowed Chairs and Professorships)
      ☐ Other – Explain

III. Contribution Information:
   A. Total amount of private funds expected to be committed (or being discussed): $500,000.
   B. Form of private contribution (s):
X Outright Gift - **December, 2012: $25,000**
X Written Pledges (Please see attached pledge summary)
C. Initial contribution/pledge payment expected (Please see attached pledge summary)
D. Source(s) of private contribution(s): See attached pledge summary
E. Will this gift/pledge be anonymous, without publicity? ☐ Yes X - No
   * Attach letter from Dean or other appropriate college/unit official attesting to the experience, character, and reputation of anonymous donor(s).

**IV. College/UCR/UC Commitment:**
A. Will any additional college, campus-wide or system-wide resources be sought/required (e.g., space, special facilities, equipment, etc.)? How will they be funded?
   Yes. $500,000 from the UC Presidential Matching Chairs Fund Initiative. The University of California will establish a matching fund of $500,000 to be named the Urban Entomology Endowed Presidential Chair Matching Fund. Collectively, the funds shall be referred to as “Chair Funds”.

B. If Endowed Chair or Professorship, is this a ☐ New FTE X - Existing FTE?
   If new, please give reference to your Academic Plan: ________________________________

**V. College/Unit/Faculty Consultation**
This naming has been reviewed by and received recommendation from the faculty of the department(s) affected by the named chair.
* Attach recommendation(s) from college, unit, faculty as appropriate.

**Submitted by:**

Marylyn Y. Yates  
Dean, College of Natural and Agricultural Sciences  

Hieu Nguyen  
Associate Vice Chancellor, Development  

Peter A. Hayashida  
Vice Chancellor, University Advancement  

3/16/15 Date  
3/18/15 Date  
3/19/15 Date
II. Academic Information
   A. Academic Justification

   The college of Natural and Agricultural Sciences, in accordance with UCR policy, will establish the Urban Entomology Endowed Presidential Chair to further instruction and applied research on the control of termites, ants, cockroaches, yellow jackets, fleas, and other urban pests. For more than 35 years, the Department of Entomology has been a research leader pioneering solutions for California’s structural pest control industry and throughout the world, and has long term goals in this field of study. This chair will enhance our national visibility in recruiting and retaining faculty and students of distinction, main undergraduate and graduate training in urban entomology, and signify UCR’s continuing commitment to extend knowledge gained from research to the industry and for the public good.

   The Urban Entomology Endowed Presidential Chair will be assigned to the Department of Entomology. For maximum academic flexibility the chair may be filled by a tenure-track appointment, tenured appointment, or a cooperative extension specialist.

   B. The intellectual independence of the chair holder’s scholarly activities is assured.

   C. Resources:

   No Additional resources are needed. This is an existing FTE in the college. Distribution from the endowed fund will be available to the chair holder in support of his/her research, facilities, undergraduate and graduate instruction, and community outreach.
## University of California, Riverside

**Urban Entomology Chair Fund**

**Donor Giving History as of March 13, 2015**

<table>
<thead>
<tr>
<th>Constituent Name</th>
<th>Fund Description</th>
<th>Fund ID</th>
<th>Total Giving By Donor</th>
<th>Outright Gifts - Cash</th>
<th>Pledge(s)</th>
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<tr>
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</table>

| Total Gifts and Pledges Receivable                     | $500,000.00                      | $25,000.00| $475,000.00            | $197,000.00           | $278,000.00|
To: Marylynn Yates, Dean, CNAS  
From: Rick Redak, Chair, Entomology  
Subj: Endowed Chair in Urban Entomology  
CC: Jo Ann Anderson, William Walton

The faculty members of the Department of Entomology met on February 27, 2015 to discuss and vote on the establishment of the Endowed Chair in Urban Entomology. There was unanimous approval to move forward and establish this endowed chair. Please move this process forward as rapidly as you deem reasonable.
THE URBAN ENTOMOLOGY ENDOWED PRESIDENTIAL CHAIR
College of Natural and Agricultural Sciences

I. INTRODUCTION

Numerous individuals and organizations have made outright gifts and pledges to the UC Riverside Foundation totaling $500,000 to endow the Urban Entomology Endowed Presidential Chair Fund (the “Fund”). The University of California will establish a matching fund of $500,000 to be named the Urban Entomology Endowed Presidential Chair Matching Fund. Collectively, the funds shall be referred to as “Chair Funds”.

II. BACKGROUND

Ensuring that the Urban Entomology program can continue to produce research, extension, outreach, and partnership in the field of pest management is of equal importance to the College of Natural and Agricultural Sciences at the University of California, Riverside and to the urban entomology industry.

For 36 years, Distinguished Professor of Entomology Michael K. Rust has led the Urban Entomology program with distinction. As Dr. Rust retires, the university and industry both hope to perpetuate the initiatives that he has fostered, support continued program excellence, and secure the program’s future.

Corky Mizer, founder of Corky’s Pest Control headquartered in San Marcos, has served as the lead donor and key volunteer to help the college solicit gifts from the structural pest control industry and other interested donors to accomplish this fundraising goal.

Since its beginnings in 2011, the Urban Entomology Endowed Chair Fund has received one outright gift and fourteen pledges. Eleven of these pledges are from pest management company operators, two pledges are from large corporations who serve the pest management industry, and one is from an individual.

The donors (“Founders Circle” members) who have pledged to support the fund are Corky’s Pest Control, Dewey Pest Control, Lloyd Pest Control, Mega Fume, Inc., Orkin Pest Control, Festicide Applicators Professional Association (PAPA), Payne Pest Management, Pestgon, Inc., Statewide Fumigation, Western Exterminator Company, Harbor Pest Control, Syngenta Crop Protection, LLC, Univar, Inc., and Mr. James Ogle. The Founders Circle member making an outright gift is Clark Pest Control.
III. ESTABLISHMENT OF FUNDS

A. Subject to approval through the appropriate policies and procedures of the University of California, Riverside, and the Regents of the University of California, and pending receipt of funds as described below, Chair Funds shall be used to establish the Urban Entomology Endowed Presidential Chair (“Chair”).

B. The Urban Entomology Endowed Presidential Chair Fund, a true endowment to be held by the UC Riverside Foundation, shall be established when this agreement has been reviewed, signed and dated by appropriate university officials and the Donor pledges have been fully funded.

C. The Urban Entomology Endowed Presidential Chair Matching Fund, a fund functioning as an endowment to be held by The Regents, shall be established and funded upon formal approval of the Chair by the President of the University. Approval will be contingent upon full funding of the Urban Entomology Endowed Presidential Chair Fund by the Donors.

D. In the unlikely event that The Urban Entomology Endowed Presidential Chair Fund does not meet the pledged amount, the Chancellor of UCR is authorized to redesignate the purpose of the funds, taking into consideration Donors’ expressed wishes as to the designated purpose described in this document. Any University matching funds will be returned and the Chair will not be established.

E. Additions to the UC Riverside Foundation Fund can be made at any time.

IV. PURPOSE AND USE OF CHAIR FUNDS

A. General Purpose

The expendable distributions from the Urban Entomology Endowed Presidential Chair Fund shall be transferred to The Regents and used to support faculty salaries and/or graduate fellowships in the chair holder’s department. The expendable distributions from the Urban Entomology Endowed Presidential Chair Matching Fund shall be used to provide the appointed chair holder a scholarly allowance to support research and teaching. Distributions shall be made under the direction of the Dean of the College of Natural and Agricultural Sciences in accordance with established UCR policies and procedures.

The establishment of the Chair Funds will comply with current policies of the UC Regents and the UC Riverside Foundation. If, in the judgment of the Chancellor, the designated use of the Chair Funds payout is impractical or impossible, then the Chancellor may, in consultation with the Donor, when possible, use the Chair Funds payouts for such other purposes at the University of California, Riverside as the Chancellor determines to be consistent with Donors’ interests and intentions.
V. ADMINISTRATION OF FUNDS

A. The Chair Funds will be administered in accordance with the UCR Policies and Procedures on Endowed Professorships: Establishment, Administration, and Appointment of Faculty.

B. The Chair Funds’ expendable distributions will be determined periodically under the terms of the Endowment Expenditure Policy as established by the UC Riverside Foundation and The Regents of the University of California.

Total return earned by the Chair Funds in excess of the amount approved for distribution shall be retained in the Chair Funds’ principal to protect the Chair Funds from the effects of inflation and to allow for growth. Any unexpended distributions from the previous year may be combined with that of the current year for spending purposes or added to the Chair Funds’ principal.

The principal of the Chair Funds may be combined with other Funds for investment purposes.

Fiduciary responsibility for governance and investment of the Chair Funds is vested in the UC Riverside Foundation Board of Trustees and the Chief Investment Officer of The Regents.

C. As is customary with universities and other non-profit organizations across the country, a one-time gift fee is applied to each gift/pledge payment in order to provide essential support to UCR’s advancement program. The fee is currently 5%. In addition, after the one-time fee has been satisfied, administrative fees will be charged in accordance with UCR policy.

Hieu Nguyen for the University of California and the UC Riverside Foundation

Marylynn V. Yates
Dean, College of Natural and Agricultural Sciences

3/18/15
Date

3/18/15
Date