March 10, 2011

TO: Ameae M. Walker (Biomedical Sciences), Vice Chair
    Daniel Ozer (Psychology), Secretary/Parliamentarian
    Rise B. Axelrod (English), Academic Personnel (CAP)
    Paulo Chagas (Music), Academic Computing & Information Technology
    Peter Chung (AGSM), Planning and Budget (P&B)
    Steven Clark (Psychology), Undergraduate Admissions
    Jay Farrell (Electrical Engineering), BCOE Executive Committee
    Christine Gailey (Women’s Studies), Committee on Committees (COC)
    John Ganim (English), Physical Resources Planning (PRP)
    Gerhard Gierz (Mathematics), Preparatory Education
    J. Daniel Hare (Entomology), Faculty Welfare (FW)
    David Herzberger (Hispanic Studies), CHASS Executive Committee
    Morris Maduro (Biology), Graduate Council/CCGA Representative
    Manuela Martins-Green (Cell Biology), Diversity & Equal Opportunity (CODEO)
    Thomas Morton (Chemistry), Junior Assembly Representative
    David R. Parker (Environmental Sciences) CNAS Executive Committee
    David S. Pion-Berlin (Political Science), Committee on Research (COR)
    Richard L. Smith (SoBA), SoBA Executive Committee
    Melanie Sperling (GSOE), GSOE Executive Committee
    Daniel S. Straus (Biomedical Sciences), Biomed Executive Committee
    Albert Wang (Electrical Engineering), Senior Assembly Representative
    Jose Wudka (Physics), Educational Policy (CEP)

FR: Mary Gauvain, Chair
    Riverside Division

RE: Executive Council Agenda, March 14 2011

This is to confirm the meeting of the Executive Council on Monday, March 14, 2011 at 1:10 p.m. to 3:00 p.m. in 220 University Office Building.
<table>
<thead>
<tr>
<th>Item</th>
<th>Action/Information</th>
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<tr>
<td>I.</td>
<td>Approval of the March 14, 2011 Agenda and February 28, 2011 minutes.</td>
<td>Issues under review – Items currently under review</td>
<td>ANNOUNCEMENTS BY THE CHAIR</td>
<td>LIST OF SENATE BUDGET PRIORITIES</td>
<td>CAMPUS NAMINGS: Winston Chung Hall Winston Chung Global Energy Center. The Term Chairs are simultaneously being reviewed by the appropriate Senate Committees</td>
<td>UC IRVINE SURVEY Discussion</td>
<td>LIMITS TO UNDERGRADUATE STUDENT ENROLLMENT – VCJ. Sandoval, Registrar Bracken Dailey and Assistant Vice Chancellor LaRae Lundgren will be joining the discussions</td>
<td>OTHER EXECUTIVE COUNCIL &amp; COMMITTEE BUSINESS</td>
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EXECUTIVE COUNCIL MEETING
MINUTES
FEBRUARY 28, 2011

PRESENT:
Mary Gauvain (Psychology), Chair
Daniel Ozer (Psychology), Secretary/Parliamentarian
Rise B. Axelrod (English), Academic Personnel (CAP)
Peter Chung (AGSM), Planning and Budget (P&B)
Steven Clark (Psychology), Undergraduate Admissions
Jay Farrell (Electrical Engineering), BCOE Executive Committee
Christine Gailey (Women's Studies), Committee on Committees (COC)
John Ganim (English), Physical Resources Planning (PRP)
J. Daniel Hare (Entomology), Faculty Welfare
Morris Maduro (Biology), Graduate Council
Thomas Morton (Chemistry), Junior Assembly Representative
David R. Parker (Environmental Sciences), CNAS Executive Committee
David S. Pion-Berlin (Political Science), Committee on Research (COR)
Richard L. Smith (SoBA), SoBA Executive Committee
Melanie Sperling (GSOE), GSOE Executive Committee
Jose Wudka (Physics), Educational Policy (CEP)

ABSENT:
Ameae M. Walker (Biomedical Sciences), Vice Chair
Paulo Chagas (Music), Academic Computing & Information Technology
Gerhard Gierz (Mathematics), Preparatory Education
David Herzberger (Hispanic Studies), CHASS Executive Committee
Manuela Martins-Green (Cell Biology), CODEO
Daniel S. Straus (Biomedical Sciences), Biomed Executive Committee
Albert Wang (Electrical Engineering), Senior Assembly Representative

AGENDA:
The agenda for February 28, 2011 and the minutes from the February 14, 2011 meeting were unanimously approved as written.

ANNOUNCEMENTS BY THE CHAIR:
Chair Gauvain gave an update on the Academic Council discussions regarding the UCOP Funding Streams proposal. Chair Gauvain pointed out that the Academic Senate wants rebenching to happen at the same time as the funding streams plan is implemented. Without rebenching, the distribution of funds to the campuses, which is central to the funding streams plan, will reflect historical state allocations for student FTE that are not the same across the campuses. The Senate believes this approach will disadvantage some campuses and, thereby, undermine the system as a whole. The Powell Implementation Task Force of the Academic Council, of which Chair Gauvain is a member, is preparing a document for Council to reflect this view. At the recent Council meeting on 2/23/11 and at the individual campus mid-year budget meeting between UCR and the
President the following day, which Chair Gauvain attended, President Yudof agreed that the Senate does not need to wait until the planned September 1, 2011 rebenching work at OP begins to discuss the principles of rebenching. The Academic Senate will commence discussing the principles of rebenching in the near future; however, discussions about the actual implementation of rebenching, which will be based on financial modeling of possible approaches, will not begin until September 1.

Chair Gauvain indicated that it was essential for the EC members to understand the impact that the funding streams approach will have on the campus. In this plan, OP will no longer collect all revenues from the campuses and then disperse them back to the campuses. Rather, OP will allow all the revenue collected on the individual campuses to remain on the campus of origin and OP will obtain its support from the campuses by imposing a tax on campus expenditures. The Chair pointed out that up to now, discussions about the funding streams plan have been mainly about the financial arrangements between the campuses and OP. However, the major question that remains concerns what happens on the campuses when the funds are distributed. In the new plan, campus funds will no longer be designated or earmarked by OP. In the Chair’s view, the Senate’s role on the campus will become very different once the funding streams proposal is in place. In essence, the Senate will need to be much more familiar with the campus budget and it will have to be very effective at lobbying the administration about how the funds on campus should be distributed. Chair Gauvain also mentioned that UCOP is examining all of the programs it currently funds to determine which, if any, of these programs will continue to be funded centrally. The Senate’s position is, by and large, to liquidate most of these programs so that individual campuses have as much revenue from OP as possible to spend as they see fit. One example of a program that the Senate would like to continue to be funded centrally is the California Digital Library, which all the campuses use and is much more efficient to oversee centrally.

Chair Gauvain indicated that she had accompanied the EVC/Provost and VC Bolar to Oakland to meet with President Yudof to discuss the budget plans for UCR. The Chancellor joined them via iLink. She reported that the President was very interested in UCR’s budget for next year. He commented that we are one of the leanest campuses and that the budget being proposed by the campus did much with the limited resources we have. The President repeated one of his main messages in this meeting, that is, he is telling campuses to learn how to deploy their faculty in the most effective way. He also indicated that it is important to protect research, and he is supportive of increased use of lecturers to deliver instruction, including Lecturers with Security of Employment (LSOE). Chair Gauvain also mentioned that the President indicated that the June ballot vote regarding taxes will be complex for the University. If it comes on the ballot UC hopes it wins. However, if it wins, the funds may be encumbered and offer limited relief to higher education. If it does not get on the ballot, the UC is likely to be looking at a deficit of one billion dollars (as opposed to the current shortfall of $500 million). The President remarked that if this happens, the UC will have to rethink the way it does business.

Chair Gauvain also mentioned that she was in the process of creating an Ad Hoc Committee to examine Academic Planning issues at UCR in the context of the budget cuts. She commented that her efforts to form this committee as a subcommittee of the Planning and Budget Committee failed, so she will be formulating it as a Chair’s Ad Hoc Committee. The specific charge to the Ad Hoc Committee will include how to approach the academic mission and activities of the campus in this budget climate, including how the cuts might impact the quality of the academic programs.
The intention is that this committee will be able to work quickly and effectively so that its report will be useful in this current budget situation. The Chair has asked the Ad Hoc committee to prepare a report for presentation at the May Division meeting.

Finally, Chair Gauvain mentioned the two EVC/P interviews scheduled for the 1st and 2nd of March. The EC spent some time discussing the merits of having the candidates make a research presentation. Chair Gauvain indicated that she would bring the issue up with Vice Chair Prof. Ameae Walker who is on the EVC/P search committee.

Lastly, Chair Gauvain mentioned that she is working on formalizing the EC budget cut priority list so that it can be presented to the Administration in March.

**REQUEST FOR SYSTEMWIDE REVIEW OF REPORT OF SENATE MEMBERSHIP TASKFORCE:**
The EC members discussed the report of the Task Force on Senate Membership. The Task Force was asked to examine the principles underlying Academic Senate membership and assess the degree to which current practices reflect those principles. The Task Force offered four recommendations based upon their assessment of current use of titles and hiring practices. The report was reviewed by various committees including Faculty Welfare. Chair Gauvain indicated that she would draft a response for the Academic Council based on these committee reports.

**PROPOSED CHANGE TO iEval Teaching Evaluation Form:**
The proposal from the College of Natural and Agricultural Sciences which requested that Question 18 of the iEval questionnaire include “demonstrations” in the parenthetical list of supplementary materials was reviewed and found appropriate.

**UC Final Examinations:**
The EC members discussed the proposal to formulate a policy that would allow UCR to move from three-hour to two-hour final examination periods. The EC members opposed this policy. They also stressed that the Registrar’s office should be reminded of the importance of not having final examinations scheduled on the Saturday immediately following a Friday class as well as the need for adherence to this request so that faculty can be assured that students with Friday classes will be given at least 24 hours before any final examination period. The EC felt strongly that there should be a solution to this issue that does not reduce the examination time period.

**Other Executive Council & Committee Business:**
Prof. Melanie Sperling, Chair of the Graduate School of Education Executive Committee, gave an update on the credential program offered by Graduate School. She also mentioned that UCR is one of the very few institutions that offer the Education Specialist Credential with a focus on mild-to-moderate retardation.

Prof. Christine Gailey, Chair of Committee on Committees, indicated that the Committee has started meeting to select Senate committee members for 2011-12 academic year. The CoC has also decided to go paperless and all their business will be conducted via email.
Prof. Jose Wudka, Chair of Educational Policy, indicated that CEP had just completed two undergraduate reviews; Sociology and Earth Sciences. They are working on formulating a policy for impacted majors at UCR.

Prof. Peter Chung, Chair of Planning and Budget, indicated that Planning and Budget will be holding biweekly meetings and that the first of these meetings was scheduled for March 3. VC Bolar was going to be Planning and Budget’s first guest and that after March 3 interviews with the Deans will begin.

Prof. Steve Clark, Chair of Undergraduate Admissions, indicated that the proposal to modify the Comprehensive Review for Freshman Admissions for the Fall 2012 admissions cycle was approved at the February Division meeting. The Committee is now working on admission procedures for transfer students.

Prof. David Parker, Chair of CNAS Executive Committee, indicated that CNAS already has major-specific transfer requirements in place. He added that CNAS is currently discussing Calculus as a requirement for transfer to the Physics program. The GPA requirement at CNAS remains at 2.7. Chair Parker also mentioned that CNAS has set their AIS cutoff at 1000 students.

Prof. Morris Maduro, Chair of Graduate Council indicated that Graduate Council approved the creation of two designated emphasis for degrees in CHASS. They are: Designated Emphasis in Book Archive and Manuscript Studies and Designated Emphasis in Middle East and Islamic Studies. They have also conducted 2 external graduate reviews (say what they were) and one internal review (say what it was). He reported that the relationship between the Graduate Council and the Graduate Dean’s office has improved greatly and the Graduate Council invited Associate Dean Ken Baerenklau to help them with the internal review of the Southeast Asian Studies Graduate Program.

Prof. Dan Hare, Chair of Faculty Welfare indicated that his committee had written a memo to EVC/Provost Dallas Rabenstein on campus incivility. He also indicated that the committee was waiting to hear the final budget decisions on campus to examine how the decisions will affect the welfare of faculty.

Meeting adjourned at 3:02 PM.

Respectfully submitted,

Sellyna Ehlers
Executive Director
Office of the Academic Senate
## Issues from Systemwide for Divisional Reviews

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<tr>
<th>Submission Date</th>
<th>Subject</th>
<th>Committees</th>
<th>Executive Council - (A/I or I)</th>
<th>Division Due Date</th>
<th>Systemwide Due Date</th>
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*updated 03-10-11*
March 10, 2011

TO: CHANCELLOR TIM WHITE

FM: MARY GAUVAIN, CHAIR
ACADEMIC SENATE

RE: ACADEMIC SENATE RECOMMENDATIONS REGARDING THE BUDGET CRISIS IN RELATION TO THE ACADEMIC MISSIONS OF THE CAMPUS

In the Principles proposed by the Academic Senate on February 8, 2011 regarding fiscal decision making during the budget crisis (senate.ucr.edu/uploads/budget_doc/Senate%20Budget%20Principles.pdf), Principle 2 states that all University units and functions should be examined in relation to the core missions of teaching and research. Based on this Principle, the Executive Council (EC) of the Academic Senate discussed potential actions as a means of dealing with the current budget situation on the campus. Based on these discussions, the EC recommends the following actions. Please note that some recommendations pertain to cuts and some to revenue generation.

In addition to these recommendations, the Academic Senate, in the form of an Ad hoc Committee on Academic Planning, has embarked on a detailed examination of the academic mission and activities of the campus in the context of the current budget climate. The report of this Ad hoc committee will be presented at the May 24, 2011 meeting of the Division of the Academic Senate.
ACADEMIC SENATE RECOMMENDATIONS REGARDING THE BUDGET CRISIS IN RELATION TO THE ACADEMIC MISSIONS OF THE CAMPUS

Instructional Mission:

- Reduce the cost of preparatory education by charging students extra to take these classes or requiring students to take these courses elsewhere
- Delay holistic review
- Hire temporary lecturers in impacted areas providing that quality of instruction is maintained
- Enroll more non-resident and international undergraduate students
- Increase student enrollment in areas where there is potential to raise revenue, e.g., the teacher credential program
- Require that a percentage of revenue generated by self-supporting programs be returned to the general fund
- Support the efforts of faculty to develop self-supporting master's programs and work with the Academic Senate in expediting the approval process of these programs
- Create a Task Force to investigate how to make the Palm Desert Campus a revenue generating unit of the campus
- Encourage faculty retirements

Research Mission:

- Conduct a cost/benefit analysis of the Offices of Research, Technology Transfer, and Advancement to determine their contribution to the research mission of the campus
- Evaluate and reduce compliance regulations
- Review the 2009 Senate-Administration Subcommittee report on upper level management (SMG and MSP) with an eye toward budget reduction
- Institute random (as opposed to comprehensive) audits

Cost Reductions in Nonessential Services:

- Streamline the administration and middle management staff in units that do not directly contribute to the instruction and research missions
- Freeze hiring in areas not critical to teaching and research
- Cut or outsource the functions of nonteaching, non-research cost centers, including regular campus maintenance (e.g., painting, landscaping)
- Cut nonessential staff in the student affairs office
- Cut printing costs that are charged to 19900 fund sources, including high-end brochures and reports
March 4, 2011

Chair Gauvain  
Academic Senate  

RE: Campus Naming Committee  

Dear Mary:  

As Chair Designee of the UCR Committee on Naming Campus Properties, Programs and Facilities, I am requesting the review and approval by the Academic Senate Executive Council for these naming opportunities.  

- Early Career Chair in Urban Entomology has been recommended by the Dean, College of Natural and Agricultural Sciences, Thomas Baldwin.  
- Winston Chung Endowed Term Professorship in Energy Innovation and the Winston Chung Endowed Term Professorship in Sustainability have been recommended by the Dean, Bourns College of Engineering, Reza Abbaschian.  
- Winston Chung Hall is the proposed name for Engineering Building Unit 2, an academic research facility built in 2005. This naming has been recommended by the Dean, Bourns College of Engineering, Reza Abbaschian.  
- Establish the Winston Chung Global Energy Center within the Center for Environmental Research & Technology (CE-CERT) has been recommended by the Dean, Bourns College of Engineering, Reza Abbaschian.  

Please review the attached requests, gift agreements and summary details. These proposed names need approval by the Academic Senate before it is endorsed by the Campus Naming Committee. Please respond with your recommendation by Friday March 18, 2011.  

Sincerely,  

Gretchen S. Bolar  
Vice Chancellor  

Attachments  

xc: Vice Chancellor Hayashida  
Dean Abbaschian  
Dean Baldwin  
Assistant Dean Parker  
Assistant Dean Preble  
Assistant Vice Chancellor Smith  
Executive Director Ehlers
SUMMARY INFORMATION

UCR: NAMING CAMPUS PROPERTIES, ACADEMIC AND NON-ACADEMIC PROGRAMS, AND FACILITIES

Proposed Name: *Winston Chung Hall*
In the Bourns College of Engineering

Building Background:
- Current Building Name: Engineering Building Unit 2
- Official Building Name: Winston Chung Hall
- Building Name (12-byte): WINSTON HALL
- Capital Asset Account Numbers: P5194
- Building Assignable Square Feet: 99,878 asf
- Building Basic Gross Square Feet: 157,986 gsf
- Location: UCR Core Campus

Gift Amount: $10,000,000
Gift Agreement: 02/25/2011

Description: Winston Chung Hall is recommended as the new name for Engineering Building Unit 2. This name change is in recognition of a $10,000,000 donation from Winston Chung, which will also support two Endowed Term Professorships in the Bourns College of Engineering and establish the Winston Chung Global Energy Center within Center for Environmental Research & Technology (CE-CERT).

See attached Background Information.

Site Map:
Office of Development
900 University Avenue
1100 Hinderaker Hall
Riverside, CA 92521

Date: February 28, 2011

To: Gretchen Bolar, Vice Chancellor of Financial & Business Operations

Cc: Peter Hayashida, Vice Chancellor of University Advancement
Reza Abbaschian, Dean, Bourns College of Engineering (BCOE)
Linda Parker, Assistant Dean of Development, BCOE

From: Zachary A. Smith, Assistant Vice Chancellor of Development

Subject: Winston Chung Naming Approvals

Dear Gretchen,

In accordance with approved UCR policy, I am forwarding three packets for your review: 1) to rename EBU II as Winston Chung Hall, 2) to establish the Winston Chung Global Energy Center, and 3) to establish two endowed term professorships, the Winston Chung Endowed Term Professorship in Energy Innovation and the Winston Chung Endowed Term Professorship in Sustainability.

This packet includes:

- Initial Request for Approval to Name/Establish an Endowed Term Chair (for two endowed term professorships)
- Initial Request for Approval to Name/Establish a Property, Program or Facility (for Winston Chung Hall)
- Initial Request for Approval to Name/Establish a Property, Program or Facility (for Winston Chung Global Energy Center)
- MOU signed by the donor, Winston Chung, President of Winston Battery Limited, Timothy P. White, Chancellor, University of California, Riverside, and Reza Abbaschian, Dean, Bourns College of Engineering
- Background information on Winston Chung

Please copy me on any memos and/or responses regarding this request. Should you have any questions or need any additional information, feel free to contact me at extension 26302.

Sincerely,

Zachary A. Smith, Ph.D.
Assistant Vice Chancellor of Development

Attachment
INITIAL REQUEST FOR APPROVAL TO NAME/ESTABLISH AN ENDOWED CHAIR

This form is to help review gifts for compliance with academic plans and priorities, and to facilitate campus review procedures for namings.

Upon completion of this request form, the Dean/Unit Head forwards it for signature to the Associate Vice Chancellor, Development and Vice Chancellor, University Advancement. The Associate Vice Chancellor, Development or designee will submit the request, with draft gift agreement and supporting documentation to the Executive Vice Chancellor and Provost and Vice Chancellor for Academic Planning & Budget for campus review. If approved for recommendation, the EVC&P's Office follows the appropriate procedure for Endowed Chairs.

I. Background Information:
   A. Submitted by:
      Name: Reza Abbaschian
      Title, College/Unit: Dean, Bourns College of Engineering
   B. Type of Gift and Comments:
      - Endowed Chair/Distinguished Professorship: Two Endowed Term Professorships
      - Location of FTE: Bourns College of Engineering
   C. Proposed names (if any, involving gift): Winston Chung Endowed Term Professorship in Energy Innovation and the Winston Chung Endowed Term Professorship in Sustainability.
      - Honorific naming (no gift involved):
   D. Proposed use(s): To advance the mission of the Bourns College of Engineering

II. Academic Information: (please attach explanation)
   A. Academic Justification: Explain how the proposed gift or endowment fits into the College/Unit’s Academic Plan.

The Bourns College of Engineering, in accordance with UCR policy, will establish two named endowed term professorships: The Winston Chung Endowed Term Professorship in Energy Innovation and the Winston Chung Endowed Term Professorship in Sustainability.

The Bourns College of Engineering is the only major engineering college in California’s fast-growing Inland Empire. The college offers talented students and faculty a living laboratory for addressing the complex issues and emerging opportunities that engineers and our society will encounter in the 21st century. Endowed Term Professorships connected to this college will enhance the college’s national visibility and stature, and be invaluable in recruiting and retaining faculty of distinction. For maximum academic flexibility, the Winston Chung Endowed Term Professorships may be filled by a tenure track appointment, tenured appointment, or a series of temporary appointments.
B. Resources: Describe the resources that will be necessary to support the proposed Chair (e.g., FTE and other funding.) Please refer to the College/Unit Academic Plan as appropriate.

No additional resources are needed. These are existing FTEs in the college. Distribution from the endowed fund will be available to the chair holders in support of their teaching, research, and service activities according to a budget recommended annually and approved by the dean. The chair endowment will be administered in accordance with the *UCR Policy and Procedures on Endowed Chairs: Establishment, Administration, and Appointment of Faculty*. The total return earned by the endowment in excess of the amount approved annually for spending will be returned to the Fund's principal to help it grow. Unused distribution from a previous year may be combined with that of the current year for spending purposes, or added to the Fund's principal.

III. Contribution Information:

A. Total amount of private funds expected to be committed (or being discussed):

Earnings from a gift of $10 million will support two endowed term chairs, a named Center, and will enable EBU II to be renamed in honor of the donor. The income from $0.5 million of the endowment will be used to support each of the chairs.

B. Form of private contribution:

Outright Gift

Written Pledge (Expected beginning date/ Fulfillment Date:

C. Initial contribution/pledge payment expected

D. Source(s) of private contribution(s):

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<th>Donor(s)</th>
<th>Amount(s)</th>
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<td>MVP RV</td>
<td>$10 million*</td>
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*Only portion of $10 million will be going to endowed chairs. Specific terms regarding endowed chairs will be reflected in the gift agreement, currently in the process of being completed.

E. Will this gift/pledge be anonymous, without publicity? ☐Yes ✗No
IV. College/UCR/UC Commitment:
A. Will any additional college, campus-wide or system-wide resources be sought/required (e.g., space, special facilities, equipment, etc.)? How will they be funded? No additional resources will be required.
B. If Endowed Chair or Professorship, is this a New FTE X Existing FTE? If new, please give reference to your Academic Plan: ____________________.

V. College/Unit/Faculty/Academic Senate Consultation
This naming has been reviewed by and received approval from the Executive Vice Chancellor & Provost affected by the named chair.

Submitted by:

Reza Abbaschian, Dean
Bourns College of Engineering

Peter Hayashida, Vice Chancellor, University Advancement

Joel Munson, Associate Vice Chancellor, Development

Jan Wildman, Assistant Vice Chancellor, Advancement Administration

Feb 7, 2011
Date

2/8/11
Date

2/25/11
Date

2/25/11
Date
INITIAL REQUEST FOR APPROVAL TO NAME/ESTABLISH A PROPERTY, PROGRAM OR FACILITY

This form is to help review gifts for compliance with academic plans and priorities, and to facilitate campus review procedures for namings.

Upon completion of this request form, the Dean/Unit Head forwards it for signature to the Associate Vice Chancellor, Development and Vice Chancellor, University Advancement. The Associate Vice Chancellor, Development or designee will submit the request, with draft gift agreement and supporting documentation to the Executive Vice Chancellor and Provost and Vice Chancellor for Academic Planning & Budget for campus review. If approved for recommendation, the EVC&P’s Office follows the appropriate procedure for Naming of Properties, Programs and Facilities.

I. Background Information:
A. Submitted by:
   Name: Reza Abbasschian
   Title, College/Unit: Dean, Bourns College of Engineering
B. Type of Gift and Comments:
   This is an endowment gift that will rename EBU II as Winston Chung Hall. Earnings from the endowment will support two endowed term chairs, launch a named Global Energy Center, and help support strategic initiatives of the College.
C. Proposed name (if any, involving gift): Winston Chung Hall
D. Honorific naming (no gift involved): ____________________________
E. Proposed use(s): To advance the mission of the Bourns College of Engineering

II. Academic Information: (please attach explanation)
A. Academic Justification: Explain how the proposed gift or endowment fits into the College/Unit’s Academic Plan.

The Bourns College of Engineering (BCOE), in accordance with UCR policy, will rename Engineering Building Unit II (EBUII) as Winston Chung Hall.

BCOE’s vision is to become a nationally recognized leader in engineering research and education with the profile of a top-25 engineering school. The endowment associated with naming EBUII as Winston Chung Hall will help the College achieve greater prominence and stature within the nation and the world and move closer to realizing this vision. Earnings from this endowment will benefit every aspect of the College and help the College move forward more rapidly with its strategic initiatives.

B. Resources: Describe the resources that will be necessary to support the proposed Property/Program/Facility (e.g., other funding.) Please refer to the College/Unit Academic Plan as appropriate.

No additional resources are needed. EBUII is an existing building that opened its doors to students during the 2004-2005 academic year.

III. Contribution Information
A. Total amount of private funds expected to be committed (or being discussed): $10,000,000

B. Form of private contribution (s):

   X Outright Gift (Date: 2011.)

   ☐ Written Pledge (Expected beginning date: ___________ Fulfillment Date: _________.)

C. Initial contribution/pledge payment expected $___________ by (date) _________.

D. Source(s) of private contribution(s):

   Donor(s) Amount(s)
   MVP RV $10,000,000

E. Will this gift/pledge be anonymous (donor requests no publicity)? ☐Yes  X No

IV. College/UCR/UC Commitment:

   A. Will any additional college, campus-wide or system-wide resources be sought/required (e.g., space, special facilities, equipment, etc.)? How will they be funded?

      No additional resources are needed. EBUII is an existing building that opened its doors to students during the 2004-2005 academic year.

   B. If Property, Program or Facility, has consultation with appropriate campus/UC entities occurred? Yes

      (Attach supporting documents.)

IV. College/Unit/Faculty Consultation

   This naming has been reviewed by and received approval from the faculty of the (specific department/school/unit) Bourns College of Engineering affected by the named building, etc.

Submitted by:

Reza Abbaschian, Dean, Bourns College of Engineering  Feb. 7, 2011

Joel Munson, Associate Vice Chancellor, Development  2/25/11

Peter Hayashida, Vice Chancellor, University Advancement  2/15/11
INITIAL REQUEST FOR APPROVAL TO NAME/ESTABLISH A PROPERTY, PROGRAM OR FACILITY

This form is to help review gifts for compliance with academic plans and priorities, and to facilitate campus review procedures for namings.

Upon completion of this request form, the Dean/Unit Head forwards it for signature to the Associate Vice Chancellor, Development and Vice Chancellor, University Advancement. The Associate Vice Chancellor, Development or designee will submit the request, with draft gift agreement and supporting documentation to the Executive Vice Chancellor and Provost and Vice Chancellor for Academic Planning & Budget for campus review. If approved for recommendation, the EVC&P’s Office follows the appropriate procedure for Naming of Properties, Programs and Facilities.

I. Background Information:
   A. Submitted by:
      Name: Dean Reza Abbaschian
      Title, College/Unit: Bourns College of Engineering / Center for Environmental Research & Technology (CE-CERT)
   B. Type of Gift and Comments:
      ➢ Property:
      ➢ Program: Engineering/Energy Research
      ➢ Facility/Building:
   C. Proposed name (if any, involving gift):
      Winston Chung Global Energy Center
   D. Honorific naming (no gift involved):
   E. Proposed use(s):
      Establish the Winston Chung Global Energy Center within the Bourns College of Engineering-Center for Environmental Research & Technology (CE-CERT). An initial focus of the Center will be on Life Source Rare Earth Lithium Batteries, bio-inspired technology, and the development of clean energy and energy storage. Bridging the gap between industry and academia, the Winston Chung Global Energy Center will contribute to the economic, social and environmental health of communities around the world.

II. Academic Information: (please attach explanation)
   A. Academic Justification: Explain how the proposed gift or endowment fits into the College/Unit’s Academic Plan.
      The vision of the Bourns College of Engineering (BCOE) is to become a nationally recognized leader in engineering research and education with the profile of a top-25 engineering school. BCOE’s strategic initiatives include
increasing visibility, enhancing research infrastructure, attaining leadership in certain strength areas and initiating new thrusts and new centers. The Winston Chung Global Energy Center aligns closely with all of these initiatives and will be a positive addition to BCOE’s pursuit of excellence.

B. Resources: Describe the resources that will be necessary to support the proposed Property/Program/Facility (e.g., other funding.) Please refer to the College/Unit Academic Plan as appropriate.

No additional resources will be needed to launch the Winston Chung Global Energy Center. Winston Chung, through MVP RV, is establishing a $10 million endowment that will rename EBUII as Winston Chung Hall. A portion of the earnings from this endowment will help support the Winston Chung Global Energy Center. The Center will operate under CE-CERT. Established in 1992, CE-CERT is well established and completely self-supporting. The Center will follow CE-CERT’s model of pursuing outside funding through contracts, grants and gifts.

II. Contribution Information:
A. Total amount of private funds expected to be committed (or being discussed):
   - $10,000,000
   - MVP RV
   - $10 million*

*Only a portion of $10 million will be going to the Winston Chung Global Energy Center. Specific terms regarding the Center will be reflected in the gift agreement, currently in the process of being completed.

B. Form of private contribution (s):
   - X Outright Gift (Date expected: 2011.)
   - Written Pledge (Expected beginning date: March 2011, Expected fulfillment Date: March 2011.)

C. Initial contribution/pledge payment expected: $10,000,000

D. Source(s) of private contribution(s):
   - Donor(s) MVP RV Amount(s): $10,000,000

E. Will this gift/pledge be anonymous (donor requests no publicity)? ○Yes X No

IV. College/UCR/UC Commitment:
A. Will any additional college, campus-wide or system-wide resources be sought/required (e.g., space, special facilities, equipment, etc.)? How will they be funded? Office space, administrative support, furniture and supplies.

No state resources will be needed for the Winston Chung Global Energy Center. The Winston Chung Global Energy Center will operate out of CE-CERT and will use the available labs, office space, and administrative support.
B. If Property, Program or Facility, has consultation with appropriate campus/UC entities occurred?
Yes
(Attach supporting documents.)

IV. College/Unit/Faculty Consultation
This naming has been reviewed by and received approval from the faculty of the (specific department/school/unit) College of Humanities, Arts, and Social Sciences affected by the named building, etc.

Submitted by:

Reza Abbaschian, Dean, Bourns College of Engineering

Joel B. Munson, Associate Vice Chancellor, Development

Peter Hayashida, Vice Chancellor, University Advancement

Feb 7, 2011
Date

2/25/11
Date

2/8/11
Date
MEMORANDUM OF UNDERSTANDING
between
Bourns College of Engineering
UNIVERSITY OF CALIFORNIA—Riverside, U.S.A.
and
MR. WINSTON CHUNG

I. Purpose
Mr. Winston Chung has adopted life's principles to create a clean energy source that is an expression of his vision of a new ecological civilization. The new earth-heritage power battery invented is an example of how engineering, science and technology can save today and make our world a healthier place to live.

The University of California, Riverside, chooses Mr. Winston Chung's vision of a world where all people can lead healthy and productive lives and is honored to have him become an integral part of its educational mission. The generosity of his investment in the university is profoundly appreciated and will result in future generations of students and faculty sharing their knowledge with local and global communities in improving the lives of their people.

II. Terms
This Memorandum of Understanding (MOU) serves as a written agreement between Mr. Winston Chung and the Bourns College of Engineering at the University of California, Riverside. This agreement defines a philanthropic gift in 2011 from Mr. Winston Chung to the University through the University's Foundation, and identifies the terms of the permanent recognition he will receive for his generous support.

III. Donation
Mr. Winston Chung agrees to donate US$10,000,000 cash to the University of California, Riverside through the University's Foundation.

IV. Recognition
Upon receipt of Mr. Winston Chung's donation, the University and its Foundation will establish the Winston Chung Endowed Fund. As an endowed fund, the Winston Chung Endowed Fund will be permanent. The University's Foundation will invest this gift according to the University Foundation policies, and each year a portion of the earnings will support the activities and programs listed below in this MOU. Another portion of the earnings will be reinvested to grow the fund over time and protect it from the effects of inflation. Once this fund is fully established, the University of California, Riverside will:

a. Name the building currently known as Engineering Building II as Winston Chung Hall. This naming will include:
   i. Placing the name Winston Chung Hall in large letters on the exterior of the building, in conformance with UCR architectural guidelines and policies.
   ii. Placing a distinctive plaque in a prominent location inside the building. This plaque will have Mr. Winston Chung's name in both English and Chinese. Mr. Winston Chung's picture and a description of his background and accomplishments will be inscribed on the plaque.

b. Create two Endowed Term Professorships in the Bourns College of Engineering. Three-Year Professorships shall be named the Winston Chung Endowed Professorship in Energy Innovation and the Winston Chung Endowed Professorship in Sustainability. The Dean of the Bourns College of Engineering, according to UCR Riverside policy, will appoint two engineering faculty members to hold these titles for a period of three years with the option of renewal. Each professor, through the Dean, will provide Mr. Winston Chung with an annual report of how the funds have been used to help advance research.

c. Establish the Winston Chung Global Energy Center within the Bourns College of Engineering, Center for Environmental Research & Technology (CE-CERT). An initial focus of the Center will be on Life Source Rare Earth Lithium Batteries, bio-inspired technology, and the development of clean energy and energy storage. Bringing the gap between industry and academia, the Winston Chung Global Energy Center will contribute to the economic, social and environmental health of communities around the world. The College will provide Mr. Winston Chung with annual reports highlighting the progress of the Center’s research activities.

V. Engagement in the College
The Bourns College of Engineering is honored to strengthen its relationship with Mr. Winston Chung by providing opportunities for him to actively participate in the College. To engage Mr. Winston Chung in the College, the Dean will:

a. Invite Mr. Winston Chung to join the Dean’s Council of Advisors for the engineering college. The Council is comprised of influential leaders who provide an external perspective on the College's programs and activities. They also review curricula and research for relevance, purpose and progress and provide other assistance and support as needed.

b. Expand the activities of the Dean’s Council of Advisors by adding a Committee for Global Affairs and inviting Mr. Winston Chung to serve as the Founding Chair. In this capacity, Mr. Winston Chung can help the College expand its international relations, particularly with China.

c. Prepare to the Chancellor of the University of California, Riverside, to name Mr. Winston Chung as the first Fellow of the Bourns College of Engineering.

VI. Additional Opportunities
Mr. Winston Chung has indicated an interest in also donating an electric vehicle charging station to the University of California, Riverside, during calendar year 2011. The University will gratefully accept this donation and with Mr. Winston Chung's assistance will make appropriate arrangements for its installation, operation, and maintenance. This station will be part of the Winston Chung Global Energy Center and will be used for research, commercialization of new technology, and collaborative partnerships with industry. Mr. Winston Chung has expressed an interest in research leading to the development of clean energy and improvements in battery technology. In advance innovation, the University would be honored to have Mr. Winston Chung consider other proposals to support faculty research, including that of Professor David Kincheloe. Mr. Winston Chung will have the opportunity to determine the type and scope of those research projects. If any intellectual property arises from this research, Mr. Winston Chung will have equitable rights to commercialization, as specified in the contract or grant agreement governing the work.

This MOU shall enter into force on the date of the signing by qualified representatives of both parties.

Timothy P. White
Chancellor
University of California, Riverside

Date: January 24, 2011

Winston Chung
Dean
Bourns College of Engineering

Date: January 24, 2011

Dear Sirs,

Winston Chung Limited

Date: 31-01-2011

VII. Signatures
Biography of Winston Chung

Founder, Chairman and CEO, Winston Global Energy Company, Ltd.

Winston Chung, inventor of the lithium iron phosphate battery, is the founder, chairman and CEO of Winston Global Energy Co., Ltd. The company invests, manufactures and markets energy storage solutions and lithium batteries. Winston also serves as director and chief scientist of the People's Republic of China's National 863 Lithium Battery Research and Development Center. As the major shareholder of a listed Company on the Hong Kong Stock Exchange, he leads an elite team expanding clean energy research and product development.

In 2010, Winston acquired the former Fleetwood Enterprises facility in Riverside, California. He is the majority stockholder and chairman of the board of directors of MVP RV, a manufacturer of recreation vehicles. Winston is investing $310 million in MVP RV to promote motorhome exports to China. In 2011, he invested $5 million in Balqon Corporation (BLQN.OB), a manufacturer of electric-powered vehicles and is the chairman of the board of directors.

A child prodigy, Winston invented the Traditional Chinese Medical (TCM) pulse meter at age 12. At 13, he began to study TCM and Pharmacology. His compilation of the Meridional Flow on Acupuncture and Moxibustion was published when he was 16. At 17, he invented a 3-in-1 television system. He invented the maintenance-free lead-acid battery (1982); the plastic lithium-ion rechargeable battery (1989); the waterborne adhesive lithium-ion rechargeable battery (1995); the rare earth element lithium yttrium rechargeable battery (2001) and the rare earth element lithium-sulfur rechargeable battery (2003), which is the most advanced battery technology in the world.

Winston enjoys music, art and painting. He was born on August 10th, 1958 in Guangdong Province, China.
Date: January 25, 2011

To: Gretchen Bolar, Vice Chancellor of Financial & Business Operations

Cc: Peter Hayashida, Vice Chancellor of University Advancement
    Tom Baldwin, Dean, College of Natural and Agricultural Sciences (CNAS)
    Holly Preble, Assistant Dean of Development, CNAS

From: Zachary A. Smith, Assistant Vice Chancellor of Development

Subject: Early Career Chair in Urban Entomology; for review by the UCR Academic Senate

Dear Gretchen,

In accordance with the approved *UCR Policy for Naming Campus Properties, Academic and Non-academic Programs, and Facilities*, I am forwarding the Early Career Chair in Urban Entomology for your review.

This packet includes:

- Initial Request for Approval to Name/Establish an Endowed Term Chair
- Due diligence letter to be sent to $1,000 donors and above, per UCOP’s recommendation
- Supporting emails from Judy Lehr and UCOP
- Sample solicitation materials

Please copy me on any memos and/or responses regarding this request. Should you have any questions or need any additional information, feel free to contact me at extension 26302.

Sincerely,

Zachary A. Smith, Ph.D.
Assistant Vice Chancellor of Development

Attachment
INITIAL REQUEST FOR APPROVAL TO NAME/ESTABLISH AN ENDOWED CHAIR

This form is to help review gifts for compliance with academic plans and priorities, and to facilitate campus review procedures for namings.

Upon completion of this request form, the Dean/Unit Head forwards it for signature to the Associate Vice Chancellor, Development and Vice Chancellor, University Advancement. The Associate Vice Chancellor, Development or designee will submit the request, with draft gift agreement and supporting documentation to the Executive Vice Chancellor and Provost and Vice Chancellor for Academic Planning & Budget for campus review. If approved for recommendation, the EVC&P’s Office follows the appropriate procedure for Endowed Chairs.

I. Background Information:
A. Submitted by:
   NAME: Thomas Baldwin
   TITLE: Dean, College of Natural and Agricultural Sciences
B. Type of Gift and Comments:
   ▶ Endowed Chair/Distinguished Professorship: Endowed Term Chair
   ▶ Location of FTE: College of Natural and Agricultural Sciences
C. Proposed name (involving gift): Early Career Chair in Urban Entomology
D. Proposed use(s): To advance the mission of the College

II. Academic Information:
A. Academic Justification: Explain how the proposed gift or endowment fits into the College/Unit’s Academic Plan.

   The College of Natural and Agricultural Sciences, in accordance with UCR policy, will establish the Early Career Chair in Urban Entomology to further instruction and applied research on the control of termites, ants, cockroaches, yellow jackets, fleas, and other urban pests. For more than 35 years, the Department of Entomology has been a research leader pioneering solutions for California’s structural pest control industry and throughout the world, and has long term goals in this field of study. This chair will enhance our national visibility in recruiting and retaining faculty and students of distinction, maintain undergraduate and graduate training in urban entomology, and signify UCR’s continuing commitment to extend knowledge gained from research to the industry and for the public good.

   The Early Career Chair in Urban Entomology will be assigned to the Department of Entomology. For maximum academic flexibility, the chair may be filled by a tenure-track appointment, tenured appointment, temporary appointment for a specific period of time, or a series of temporary appointments. It may also be filled by a cooperative extension specialist.

B. Resources: Describe the resources that will be necessary to support the proposed Chair (e.g., FTE and other funding.) Please refer to the College/Unit Academic Plan as appropriate.

   No additional resources are needed. This is existing FTE in the college. Distribution from the endowed fund will be available to the chair holder in support of his/her teaching, research, and service activities according to a budget recommended annually.
to the chair of the department and approved by the dean. The chair endowment will be administered in accordance with the UCR Policy and Procedures on Endowed Chairs: Establishment, Administration, and Appointment of Faculty. The total return earned by the endowment in excess of the amount approved annually for spending will be returned to the Fund’s principal to help it grow. Unused distribution from a previous year may be combined with that of the current year for spending purposes, or added to the Fund’s principal.

III. Contribution Information:
A. Total amount of private funds expected to be committed (or being discussed):

The Early Career Chair in Urban Entomology will be funded through a transfer of $360,000 from the Urban Entomology Endowed Research Fund (UCRF #6F0004). Established in 1991 by Dr. Michael K. Rust, Professor of Urban Entomology, the Urban Entomology Endowed Research Fund now totals approximately $400,000 thanks to the generous support of individuals and companies, most associated with the pest control industry. The college feels that the fund’s research promise — a legacy of perpetual support in research related to “new pest control products, treatment strategies, equipment, education, and rational responses to problems that affect the pest control industry” — can best be satisfied by using these funds to appoint a dedicated chair holder who, in turn, will manage the chair’s endowment in keeping with these instructional, research, and outreach activities. Remaining funds in the Urban Entomology Endowed Research Fund will continue to be managed by Professor Rust, or his replacement upon retirement. In November 2010, fund contributors were notified that an endowed chair was under consideration (see attached Due Diligence Letter to Donors and the Clarification of Fund’s Purpose).

It is assumed that over time, through additional gifts and prudent investment policies, the Early Career Chair in Urban Entomology endowment will grow. As the corpus grows, in accordance with existing UCR policies and procedures, the dean of the college in consultation with chair of the Department of Entomology may choose to fund additional early career chairs in urban entomology and/or increase the distribution to an existing chair holder. Based on precedent, the Early Career Chair in Urban Entomology may also be redesignated for a senior-rank chair holder. In this case, it will be renamed the Endowed Chair in Urban Entomology (currently, the endowment minimum to establish a senior-level chair is $1 million).

The College of Natural and Agricultural Sciences has already launched a major fundraising initiative with the help of Mr. Corky Mizer, founder of Corky’s Pest Control headquartered in San Marcos, to raise gifts from California’s structural pest control industry for endowed chairs in urban entomology. A new fund, called the Urban Entomology Chair Fund (Quasi Endowment), has been specifically established as the “parent fund” for this purpose.

At a future date, individual urban entomology chairs may become named in honor of faculty and individuals of distinction. Any name change request will follow the UCR Policy and Procedures on Endowed Chairs.

Form of private contribution (s):

( X ) Outright Gift (Date: Within 30 days of chair approval)
☐ Written Pledge Expected beginning date: _____ Fulfillment Date: _____

B. Initial contribution/pledge payment expected:

C. Source(s) of private contribution(s):

<table>
<thead>
<tr>
<th>Lead Donor(s)</th>
<th>Amount(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Urban Entomology Endowed Research</td>
<td>$360,000 transferred from UCRF Fund #6F0004</td>
</tr>
</tbody>
</table>

D. Will this gift/pledge be anonymous, without publicity? ☐ Yes X No

The first occupant will be announced once the chair is approved and the endowment established.

IV. College/UCR/UC Commitment:

A. Will any additional college, campus-wide or system-wide resources be sought/required (e.g., space, special facilities, equipment, etc.)? How will they be funded? No additional resources required.

B. If Endowed Chair or Professorship, is this a ☐ New FTE (X) Existing FTE

If new, please give reference to your Academic Plan: ____________________

V. College/Unit/Faculty/Academic Senate Consultation

This naming has been reviewed by and received approval from the Divisional Deans and Department Chairs/faculty of the College of Natural and Agricultural Sciences affected by the named chair.

Submitted by:

Thomas O. Baldwin, Dean
College of Natural and Agricultural Sciences

Joel B. Manson, Associate Vice Chancellor, Development

Peter A. Hayashida, Vice Chancellor for University Advancement

Date

1/26/11

1/27/11

1/28/11
Sample Due Diligence Letter

January 15, 2011

Mr. Corky Mizer
President and CEO
Corky’s Pest Control
909 Rancheros Drive
San Marcos, CA 92069

Dear Corky:

Thank you for being an important contributor to the Urban Entomology Endowed Research Fund at the University of California, Riverside. I am pleased to report that this fund has grown from a starting gift of $25,000 in 1991 and now totals nearly $400,000 as a result of the ongoing support from the pest control industry and the fundraising efforts of Professor Michael Rust.

As the changing California economy leads us all to think seriously about our spending priorities, Dr. Rust and the Department of Entomology have decided that the legacy of your investment in the Urban Entomology Endowed Research Fund — to support pioneering research in urban entomology and service to the pest control industry — would best be served at this time by funding a faculty position to specifically provide these instructional, research, and outreach activities. In higher education, this is done by establishing an endowed chair.

In this light, we are asking the University of California Regents to approve the creation of an Endowed Chair in Urban Entomology in the Department of Entomology at UC Riverside. While the cost to fully endow this chair for a senior faculty member is $1.5 million, a transfer of $360,000 from the Urban Entomology Endowed Research Fund will allow us to initially fill the chair as an “early career” or cooperative extension position.

We are excited about this possibility and hope you agree.

Endowed chairs are among the most prestigious positions in higher education. As Urban Entomology faculty retire, our ability to recruit new faculty of distinction to open posts will be greatly enhanced. Endowed chairs provide national visibility and will give the Department of Entomology added leverage to attract top graduate and undergraduates into the field of urban entomology. Most importantly, an endowed chair is a permanent position and will serve to anchor urban entomology as a research focus.
For more than 35 years, the Department of Entomology at UC Riverside has been a research leader pioneering solutions for California’s structural pest control industry and throughout the world, moving knowledge gained from research to the industry for the public good. We continue to have long-term goals in this field of study and welcome your ongoing partnership.

If you have any questions or concerns about this change, please call me within 30 days of this letter. I am working directly with Dr. Rust to move this project forward and can be reached at (951) 827-3278.

Sincerely,

Holly Preble
Assistant Dean of Development
Subject: possible Urban Entomology Term Chair

From: "Judy Lehr" <judy.ahr@ucr.edu>
Date: Wed, 24 Mar 2010 10:04:33 -0700
To: "June Smith" <June.Smith@scop.edu>
CC: "Holly Preble" <holly.preble@ucr.edu>

June, Asst Dean for Development in the college of Natural and Agricultural Sciences is in discussion with an Urban Entomology professor regarding a possible term chair. Over many years, he has raised $325k through industry support in the foundation endowed fund he has built for pest management research. He is now ready to help with fundraising to take it over the $360k mark this year so it can become an endowed early career term chair. The dean of the college supports this plan. I think they will be ready soon to start the approval process through the campus so I wanted to run the concept by you for insights. It seems straight forward to me. What do you think?

We’ll keep you in the loop with documents and item drafts. –Judy

Judy Lehr, Executive Director
Donor Research & Relations
Director of Operations and Secretary, UCR Foundation
Office of Development
257A Highlander Hall
University of California
Riverside, CA 92521
951-827-2295 judy.lehr@ucr.edu
Holly Preble

From: Holly Preble [hollyp@ucr.edu]
Sent: Friday, December 17, 2010 12:49 PM
To: holly.preble@ucr.edu
Subject: Urban Entomology Endowed Research Fund

Subject: Re: chair
Date: Mon, 29 Mar 2010 10:17:28 -0800
From: Michael K. Rust <michael.rust@ucr.edu>
To: Holly Preble <holly.preble@ucr.edu>

Holly:

The Urban Entomology Endowed Research Fund was established in the Foundation Office with our first $25,000 gift from Dow Chemical. I have not transferred any funds into it. The Foundation should have all the paperwork. The funds were donated to the fund to support urban entomology research under my direction. I have promised no one anything besides that the fund would support urban pest management research. I don't know what the thank you letters look like. They are sent from the foundation.

Over the years, I have been donating my honorariums and consulting funds into the account. I am probably the single largest donor. Only until very recently have any of the interest actually been spent on research. It had been going back into the account. Target Specialty Products has helped conduct fund raisers and donated excess funds from our Fumigation conferences over the years. They have collected smaller donations from pest control operators and then sent a check to the foundation. They have actually provided very few dollars themselves. Consequently, I don't think it is necessary to get an approval from them.

Mike
Subject: possible Urban Entomology Term Chair
From: "June Smith" <June.Smith@ucop.edu>
Date: Thu, 25 Mar 2010 09:06:56 -0700
To: "Judy Lehr" <judy.lehr@ucr.edu>

First, I would like to see typical gift correspondence or, short of that, a handful of agreements for the related gifts. Perhaps there was even a template that was used for the gift agreements. What did the faculty member promise in solicitation and acknowledgment letters? What did donors require in the letters of gift? Once we know those things, we will have a clearer picture.

June

June B. Smith
Director
Development Policy and Administration
University of California
1111 Franklin Street, 7203
Oakland, California 94607
(V) 510.987.9180
(F) 510.987.9181
What is it and why is it needed?

It is a perpetual urban Entomology research endowment. Your contribution will work forever. Your tax-deductible donation is kept as a special fund managed by the University. Interest from the fund provides a base of funding that supports applied research to control such pests as termites, ants, cockroaches, yellow jackets, lice, and others. The more money in the fund, the greater the base. The idea of an endowment is something started a few years ago at UCR in response to a downturn in the economy that resulted in a significant decline in manufacturers' and PCOs' ability to support Urban Entomology at UCR. The introduction into California of important pests such as fire ants, Africanized bees, German yellowjackets, and Formosan termites has created an urgent need for additional support and research. We must prepare a legacy for the future. UCR continues to be the leading urban entomology research group in California. You can help.

Where does the money come from?

The money comes from generous people like you. The endowment is supported by a spectrum of people associated with the pest control industry -- manufacturers, distributors, large and small pest control companies, and individuals. Anyone who is a friend of the University and would like to support Urban Entomology research and have it continue at UCR is encouraged to contribute to the research endowment. Many companies and individuals have contributed, and many do so on a regular basis.

What's in it for me?

Everyone associated with the pest control industry will benefit. UCR is one of only a few universities in the country with a research group dealing with urban insect pests. UCR has been helping the pest control industry for more than 35 years. The endowment supports research related to new pest control products, treatment strategies, equipment, education, and rational responses to problems that arise that affect the pest control industry. Remember, contributions are tax deductible and help support scientific research related to urban pest problems here in California.

What's the goal?

The goal is $1,000,000. That level of endowment will go a long way towards providing UCR's Urban Entomology the research security, independence, and longevity we all hope for. The endowment now has well over $150,000, and continues to increase: We need YOU.

To provide a contribution, please make your check payable to UC Riverside Foundation with "UCR Urban Entomology Endowment" on the notation line.
URBAN ENTOMOLOGY ENDOWED RESEARCH FUND
UNIVERSITY OF CALIFORNIA, RIVERSIDE

The University of California, Riverside (UCR) created an Urban Entomology Endowed Research Fund in 1996 to be used for research and outreach on pest problems specifically associated with structural pest control. The goal for the Fund is $1,000,000 and the interest earned will provide a permanent basic level of support for research and outreach that will benefit California residents and the pest control industry.

Background

Urban Entomology and pest control impacts millions of individuals. More than 95% of Californians now living in urban areas are increasingly affected by insect pests that affect their homes, health, and quality of life. There has been a 50-year history of productivity, independent research from entomologists at UCLA and now at UC Riverside to solve these pest problems. Since 1975, when the Urban Entomology program was transferred from UCLA, UCR has continued a tradition of pioneering research.

Benefiting the public and the structural pest control industry, this research generates models and solutions for millions of people in California and throughout the world. Research at UCR has focused on the most effective strategies for dealing with insects in and around homes, apartments, commercial settings, and recreational areas. Increasing concern about insect resistance to pesticides, human exposure to pesticides, professional training and the effects of new and damaging pests introduced from abroad suggest that UCR must continue its leadership role in conducting the research and outreach programs that will address issues and problems arising in urban communities in California.

Research Accomplishments at UC Riverside

As the only major urban entomology program in the western United States, UC Riverside has focused its research and extension efforts on the major urban pests of California and the program has consistently provided leadership and practical relevant findings which assist the industry and public:

- Newest control technologies minimizing pesticide exposure.
- The use of inorganic insecticides to control cockroaches, fleas and termites.
- Clarification of details regarding the biology of cat fleas and strategies for controlling them.
- The role of repellants and natural products in controlling important pest ants, cockroaches and termites.
- The use of baits in ant, cockroach and termite control.
• Alternative pest control technologies including biologica, heat, cold and anoxia.

UC Riverside is also known nationally for education and training of entomologists who serve in academic positions throughout the country as well as in research positions in the pest control industry. Currently UCR graduates from Urban Entomology program are on the faculty at Auburn University, Louisiana State University, North Carolina State University, and the University of Florida. Other scientist are employed by the United States Air Force, California Department of Public Health, and the United States Forest Service- termite facility in New Orleans.

Management of the Fund

An Endowed Research Fund will provide a consistent base of funding for research and outreach in Urban Entomology at the University of California at Riverside. The Fund will be managed by the University, and the interest earned from the Endowment will be used annually to directly support research and outreach activities of the Urban Entomology program.

The research activities supported by the Fund will be under the direction of Michael K. Rust, Ph.D., Professor of Entomology and Donald A. Reiersol, Staff Research Associate at UCR. Graduate and post-doctoral students, staff research associates, laboratory assistants and work-study students will also participate in the research supported by the Urban Entomology Research Fund. Contributions to the Fund are tax-deductible.

The Need

Reduced state funding, corporate belt-tightening, governmental cut-backs, business mergers and economic recession affect the amount of support available for research. In particular, University resources available for organized research units have been eliminated. As a supplement to research grants, the Fund will help ensure financial stability, provide support for independent research, and sustain research efforts of importance to Californians.

Benefits

UC Riverside Department of Entomology serves as resource for the public and structural pest control industry, and donors to the Fund will be helping to support practical research related to new products, treatment strategies, education, and response to issues and problems arising in our urban communities.

In addition to its ongoing research, faculty, staff and graduate students in Urban Entomology offer outreach and extension programs and participate in state and national associations. Activities include:

• Classes, seminars, and workshops for the public and pest control industry.
• Annual Urban Pest Management Conference.
• Annual Structural Fumigation School
• Training for state and national pest control associations.
• Presentations at scientific and professional meetings.
• Community outreach to schools and governmental agencies.
• Technical information and advice.

Publications Since 1996

Alternative Pest Control Technologies


Graduate Student Papers


Metzger, M.E. and M.K. Rust. 2001. Laboratory techniques for rearing fleas


Proceedings Papers From Scientific Meetings


UCI Senate Faculty Opinion Survey
February 2011

Participation: 575 (38%) of 1510 Senate faculty
Assistant Professor/Lecturer PSOE: 92
Associate Professor/Lecturer SOE: 134
Full Professor/Sr Lecturer SOE: 340
Not given: 9
1a Maintain high quality academic programs and research, even if this means a smaller university (fewer faculty, staff and students).

1b Provide affordable undergraduate education to all California residents who qualify under the Master Plan (i.e. the top one-eighth of the high school graduating class) regardless of state funding.

1c Retain the tradition of a single high stature University of California with multiple prestigious campuses.

1d Allow campuses to further differentiate in status. One or two will be flagship institutions and others will vary in stature.

2a Slowly increase the tuition rate across the UC until it approaches the market rate (i.e. that of peer public universities, e.g. University of Michigan).

2b Allow individual campuses to slowly increase their tuition rates to what the market will bear for each, with revenue sharing between all UC campuses.

2c Allow individual campuses to slowly increase their tuition rates to what the market will bear, without revenue sharing.

2d Limit undergraduate enrollment of state residents to the level of state funding and increase the number of non-resident undergraduate students so that UCI reaches its current capacity.

2e Over-enroll state resident undergraduate students above the state support level to meet the Master Plan and capture fees for UCI with accommodation by increased faculty course load.

2f Over-enroll state resident undergraduate students above the state support level to meet the Master Plan and capture fees for UCI with accommodation by increased class size.

2g Over-enroll state resident undergraduate students above the state support level to meet the Master Plan and capture fees for UCI with accommodation by hiring additional Lecturers.

3a Freeze cost-of-living salary increases for faculty (with merits continuing as before).

3b Freeze cost-of-living salary increases for staff (with merits continuing as before).

3c Freeze cost-of-living salary increases for administrators (with merits continuing as before).

3d Reduce through attrition the number of tenure-track faculty to a sustainable size given the level of state support (i.e. maintain a hiring freeze).

3e Reduce through attrition the number of administrative and operational staff members (i.e. maintain a hiring freeze).

3f Shrink financial aid (return-to-aid) to students for tuition (fees) from Blue + Gold Opportunity Plan (which in 2011-12 applies for families earning less than $80,000 a year).

3g Eliminate or combine programs/departments with low demand and/or rankings. Allow affected faculty to apply for membership in other programs on campus.

3h Curtail new buildings and other capital projects that have not secured funds and resource streams to pay for and maintain such capital initiatives.

3i Delay approval of any new schools, programs, and departments without documentation of the availability of adequate resources.
Maintain high quality academic programs and research, even if this means a smaller university (fewer faculty, staff and students).

Provide affordable undergraduate education to all California residents who qualify under the Master Plan (i.e. the top one-eighth of the high school graduating class) regardless of state funding.
Retain the tradition of a single high stature University of California with multiple prestigious campuses.

All Senate faculty respondents (n = 575)

Allow campuses to further differentiate in status. One or two will be flagship institutions and others will vary in stature.
Slowly increase the tuition rate across the UC until it approaches the market rate (i.e. that of peer public universities, e.g. University of Michigan).

Allow individual campuses to slowly increase their tuition rates to what the market will bear for each, with revenue sharing between all UC campuses.

Allow individual campuses to slowly increase their tuition rates to what the market will bear, without revenue sharing.
Limit undergraduate enrollment of state residents to the level of state funding and increase the number of non-resident undergraduate students so that UCI reaches its current capacity.
Over-enroll state resident undergraduate students above the state support level to meet the Master Plan and capture fees for UCI with accommodation by increased faculty course load.

Over-enroll state resident undergraduate students above the state support level to meet the Master Plan and capture fees for UCI with accommodation by increased class size.

Over-enroll state resident undergraduate students above the state support level to meet the Master Plan and capture fees for UCI with accommodation by hiring additional Lecturers.
Freeze cost-of-living salary increases for faculty (with merits continuing as before).

Freeze cost-of-living salary increases for staff (with merits continuing as before).

Freeze cost-of-living salary increases for administrators (with merits continuing as before).
Reduce through attrition the number of tenure-track faculty to a sustainable size given the level of state support (i.e. maintain a hiring freeze).

Reduce through attrition the number of administrative and operational staff members (i.e. maintain a hiring freeze).

Shrink financial aid (return-to-aid) to students for tuition (fees) from Blue + Gold Opportunity Plan (which in 2011-12 applies for families earning less than $80,000 a year).
Eliminate or combine programs/departments with low demand and/or rankings. Allow affected faculty to apply for membership in other programs on campus.

Curtail new buildings and other capital projects that have not secured funds and resource streams to pay for and maintain such capital initiatives.

Delay approval of any new schools, programs, and departments without documentation of the availability of adequate resources.
### Responses to survey statements by faculty rank

| Rank   | Statement | Response | 1a | 1b | 1c | 1d | 2a | 2b | 2c | 2d | 2e | 2f | 2g | 3a | 3b | 3c | 3d | 3e | 3f | 3g | 3h | 3i |
|--------|-----------|----------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Asst/Assoc (n = 226) | Agree* | 91% 58% 88% 19% 78% 46% 28% 70% 7% 25% 42% 28% 23% 58% 34% 30% 62% 88% 88% | Disagree† | 8% 34% 5% 71% 19% 40% 63% 25% 86% 65% 31% 55% 38% 57% 31% 5% 9% 9% 5% 9% | Neutral | 1% 8% 7% 10% 4% 14% 9% 5% 7% 9% 13% 10% 12% 10% 11% 12% 14% 7% 8% 3% |
| Full (n = 340) | Agree | 95% 57% 87% 20% 84% 40% 29% 71% 12% 19% 32% 45% 38% 71% 44% 63% 39% 71% 85% 88% | Disagree | 4% 33% 7% 71% 12% 47% 61% 23% 81% 67% 53% 43% 50% 18% 49% 28% 46% 19% 9% 9% | Neutral | 2% 10% 6% 9% 4% 14% 10% 6% 7% 13% 15% 12% 12% 11% 7% 9% 16% 11% 6% 4% |

*Agree = strongly agree+agree+somewhat agree; †Disagree = strongly disagree+disagree+somewhat disagree

Exact likelihood ratio p value for "Agree" category and "Disagree" category for Asst/Assoc vs. Full Prof

|  | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 | >.05 |

**Statement**

1a  Maintain high quality academic programs and research, even if this means a smaller university (fewer faculty, staff and students).
1b  Provide affordable undergraduate education to all California residents who qualify under the Master Plan (i.e. the top 1/8 of the high school graduating class) regardless of state funding.
1c  Retain the tradition of a single high stature University of California with multiple prestigious campuses.
1d  Allow campuses to further differentiate in status. One or two will be flagship institutions and others will vary in stature.
2a  Slowly increase the tuition rate across the UC until it approaches the market rate (i.e. that of peer public universities, e.g. University of Michigan).
2b  Allow individual campuses to slowly increase their tuition rates to what the market will bear for each, with revenue sharing between all UC campuses.
2c  Allow individual campuses to slowly increase their tuition rates to what the market will bear, without revenue sharing.
2d  Limit undergraduate enrollment of state residents to the level of state funding and increase the number of non-resident undergraduate students so that UCI reaches its current capacity.
2e  Over-enroll state resident undergraduate students above the state support level to meet the Master Plan and capture fees for UCI with accommodation by increased faculty course load.
2f  Over-enroll state resident undergraduate students above the state support level to meet the Master Plan and capture fees for UCI with accommodation by increased class size.
2g  Over-enroll state resident undergraduate students above the state support level to meet the Master Plan and capture fees for UCI with accommodation by hiring additional Lecturers.
3a  Freeze cost-of-living salary increases for faculty (with merits continuing as before).
3b  Freeze cost-of-living salary increases for staff (with merits continuing as before).
3c  Freeze cost-of-living salary increases for administrators (with merits continuing as before).
3d  Reduce through attrition the number of tenure-track faculty to a sustainable size given the level of state support (i.e. maintain a hiring freeze).
3e  Reduce through attrition the number of administrative and operational staff members (i.e. maintain a hiring freeze).
3f  Shrink financial aid (return-to-aid) to students for tuition (fees) from Blue + Gold Opportunity Plan (which in 2011-12 applies for families earning less than $80,000 a year).
3g  Eliminate or combine programs/departments with low demand and/or rankings. Allow affected faculty to apply for membership in other programs on campus.
3h  Curtail new buildings and other capital projects that have not secured funds and resource streams to pay for and maintain such capital initiatives.
3i  Delay approval of any new schools, programs, and departments without documentation of the availability of adequate resources.
Issue:

It has come to my attention that undergraduate students are not being permitted to enroll in more than 16 units for spring 2011. While imposing this limit on students who register early makes sense (so that courses are available for those who are scheduled to register later), unless this limit is removed once all students have had a chance to register, College regulations would appear to be violated (see, e.g. CHASS HSR2.3.6 at http://senate.ucr.edu/bylaws/?action=read_bylaws&code=hsr&section=02). It is my understanding that variable unit (e.g., research unit) courses are outside this limit, but there has been no communication with faculty on this matter (only Chairs). In our shared governance world, my understanding is that this (max number of units permitted without permission) is a matter to be determined by the faculty, not the administration. The administration needs to articulate their intended policy and make this available to the faculty. Then, at least, the Senate may determine whether the planned policy is consistent with the regulations of the colleges. As per my understanding of Senate procedures, I am not contacting any administrative authority on this matter, but instead placing the matter before you as Divisional Chair for appropriate disposition

Regulations:

CHASS - 2.3.6 - Courses may be added until the end of the third week of instruction with the consent of the instructor. No course may be added after that time without approval of the Associate Dean. Any addition that would increase the student's academic load to more than 20 units must also be approved by the student's academic advisor. (Am 23 Feb 78) (Am 25 May 00) HSR 2.03.07 A student may add a course up to the end of the second full week of instruction. With the approval of the instructor and advisor, a student may also add a course during the third full week of instruction. (En 21 Nov 02)(Am 30 May 06)

CNAS – 2.3.7 Students in "Good Standing" may enroll for more than 20 quarter units only with the consent of the Dean of the College

ENR2.3.1 Each quarter, students will secure enrollment in each of their courses by the deadlines and methods published in the Schedule of Classes. Changes in enrollment after the published deadlines require the approval of the Associate Dean of the college. Course schedules of fewer than 12 units must have the approval of the Associate Dean of the college. (Am 21 Nov 02)