EXECUTIVE COUNCIL

June 23, 2020

To: Thomas M. Smith
    Interim Provost & Executive Vice Chancellor

From: Dylan Rodríguez, Chair Riverside Division

Re: KA Endowed Chair in Electrical and Computer Engineering

Dear Provost Smith,

At its June 22, 2020 meeting, Executive Council expressed no objection to the establishment of the proposed endowed chair. I attach comments regarding the proposal from the Committee on Academic Personnel, the Committee on Diversity, Equity, and Inclusion, and the Committee on Planning & Budget.

Peace,
dylan

Cc: Peter Hayashida, Vice Chancellor for University Advancement
    Sharilyn Berry, Executive Director of UCR Foundation and Donor Relations
June 4, 2020

To: Dylan Rodriguez  
Riverside Division Academic Senate

From: Sherryl Vint, Chair  
Committee on Academic Personnel

Re: Endowed Chair Proposal: KA Endowed Chair in Electrical and Computer Engineering

At its meeting on June 2, 2020, CAP reviewed the documents supporting the KA Endowed Chair in Electrical and Computer Engineering in the Marlan and Rosemary Bourns College of Engineering. CAP was enthusiastic about the establishment of the new Endowed Chair and voted in favor of the proposal (+10-0-0).
May 27, 2020

To: Dylan Rodriguez
Riverside Division Academic Senate

From: Xuan Liu, Chair
Committee on Diversity, Equity, and Inclusion

Re: [Campus Review] Endowed Chair Proposal: KA Endowed Chair in Electrical and Computer Engineering

The Committee on Diversity, Equity and Inclusion reviewed the Endowed Chair Proposal: KA Endowed Chair in Electrical and Computer Engineering and is in support of the proposal.

In particular, the Committee applauds the inclusion of the following statement in the certification list:

- A diversity, equity, and inclusion plan will be created in advance of chair recruitment.
PLANNING & BUDGET

June 9, 2020

To: Dylan Rodriguez, Chair
    Riverside Division

From: Harry Tom, Chair
      Committee on Planning and Budget

Re: Endowed Chair Proposal: KA Endowed Chair in Electrical and Computer Engineering

Planning & Budget (P&B) reviewed the KA Endowed Chair in Electrical and Computer Engineering proposal at their June 9, 2020 meeting. P&B was supportive of the proposal.
April 27, 2020

To: Dylan Rodriguez, Chair, UCR Academic Senate

From: Thomas M. Smith, Ph.D., Interim Provost and Executive Vice Chancellor

Subject: KA Endowed Chair in Electrical and Computer Engineering (BCOE); for review by the UCR Academic Senate

For review by the appropriate committees of the Academic Senate in order to issue their recommendation for the KA Endowed Chair in Electrical and Computer Engineering (BCOE) in the Marlan and Rosemary Bourns College of Engineering.

This chair has been recommended by Dr. Christopher Lynch, Dean of the Bourns College of Engineering. The donors are successful entrepreneurs and alumni of Bourns College of Engineering who wish to remain anonymous.

Attached are:
- Request for Approval to Establish Endowed Chair
- Letter from BCOE Executive Committee in support of the chair
- Letter showing unanimous support from Electrical and Computer Engineering faculty
- Fund Agreement with Amendment for Name Change

We would appreciate a response with the Academic Senate Executive Council’s recommendation as soon as possible.

Thank you.

Thomas M. Smith, PhD
Interim Provost and Executive Vice Chancellor

cc: Cherysa Cortez, Executive Director, Academic Senate
AMENDMENT TO THE FUND AGREEMENT  
executed on September 30, 2019  
for the KA ENDOWED CHAIR IN ELECTRICAL ENGINEERING  
between the Marlan and Rosemary Bourns College of Engineering  
and the UC Riverside Foundation

The following changes to the fund agreement between the Marlan and Rosemary Bourns College of Engineering and the UC Riverside Foundation executed on September 30, 2019 have been accepted by all parties.

In Section I of the gift agreement, the name of the fund shall be changed to the “KA Endowed Chair in Electrical and Computer Engineering.”

APPROVALS:

Amit K. Roy Chowdhury, Chair  
Electrical and Computer Engineering  
Bourns Family Faculty Fellow  
March 18, 2020  
Date

Christopher S. Lynch, Dean  
William R. Johnson Jr. Family Chair  
Bourns College of Engineering  
March 18, 2020  
Date

Kimberly McDade  
UC Riverside Foundation  
March 19, 2020  
Date

Peter Hayashida, Vice Chancellor  
UCR Advancement  
March 19, 2020  
Date
Fund agreement between
the MARLAN AND ROSEMARY BOURNS COLLEGE OF ENGINEERING
and the U. C. RIVERSIDE FOUNDATION
to establish the

KA ENDOWED CHAIR IN ELECTRICAL ENGINEERING
in the Marlan and Rosemary Bourns College of Engineering

The UC Riverside Marlan and Rosemary Bourns College of Engineering wishes to establish an endowed fund with the UC Riverside Foundation, a California non-profit corporation, with the conditions and purposes contained in this document. The name of the fund will be the KA Endowed Chair in Electrical Engineering Fund ("Fund"). Two pledged gifts of $500,000 each, totaling $1,000,000 ("Gift Funds") given by anonymous donors will be used to establish the KA Endowed Chair in Electrical Engineering in the Marlan and Rosemary Bourns College of Engineering for use at the Riverside campus ("UCR") of the University of California (the "University"). Per the fund establishing donors, "KA" as written in the fund name does not represent a name, initials, nor an acronym.

It is the intent of the Marlan and Rosemary Bourns College of Engineering that this Fund will become an endowed fund upon reaching the minimum amount required to establish an endowed chair.

I. ESTABLISHMENT OF FUND
This Fund shall be established when:

A. This memorandum has been reviewed, signed, and dated by the Dean of the Marlan and Rosemary Bourns College of Engineering and an appropriate Foundation official; and,

B. Gifts to the KA Endowed Chair in Electrical Engineering reach a total of $1,000,000 and have been received and deposited for the purpose cited herein.

C. Subject to approval through the appropriate policy and procedure of UCR and the University, and pending receipt of funds equivalent to the minimum requirement for an endowed chair, the Chair will be named the KA Endowed Chair in Electrical Engineering.

D. Additions to the Fund can be made at any time.
II. PURPOSE AND USE OF ENDOWMENT

A. General Purpose
The expendable distribution from the Fund will provide support for an endowed chair in electrical engineering within the Department of Electrical and Computer Engineering, under the direction of the Dean of the Marian and Rosemary Burnes College of Engineering. The chair holder will be selected in accordance with established University policies and procedures. The inaugural Chair will be an existing UCR faculty member. The Chair shall have a distinguished record of research, academic, and professional leadership. Research excellence will be evaluated by quality and quantity of peer reviewed publications, professional society recognition and awards (e.g., IEEE Fellow). The Chair’s academic and professional leadership will be demonstrated by service in significant positions within an academic department (e.g., chair), and/or a college (e.g., associate dean), and/or professional society (e.g., president). Preference will be given to faculty who publish research in the areas of navigation, estimation, and control.

The term for this chair shall be no longer than five (5) years and is renewable.

B. The establishment of the Endowed Chair will comply with current policies of the University, UCR, and the Foundation. If, in the judgment of the Chancellor of UCR, the designated use of endowment payout is impractical or impossible, then the Chancellor may, in consultation with the Donors when possible, use endowment payout for such other purposes at UCR as s/he determines to be consistent with Donors’ interests and intentions.

III. ADMINISTRATION OF FUND

A. The Fund will be administered in accordance with the UCR Policies and Procedures on Endowed Chairs: Establishment, Administration, and Appointment of Faculty.

B. The expendable distribution from the Fund will be determined periodically under the terms of the Endowment Expenditure Policy as established by the Foundation.

C. Payout from the Fund will be transferred to the University, to be made available to the chair holder, in support of teaching, research, graduate students and service activities under the direction of the Dean, and in accordance with University policy.

D. Total return earned by the Fund in excess of the amount approved for distribution shall be retained in the Fund principal to protect the Fund from the effects of inflation and to allow for growth. Any unexpended distribution from the previous year may be combined with that of the current year for spending purposes or added to the Fund principal. The principal of the Fund may be combined with other Funds for investment purposes.

E. Fiduciary responsibility for governance and investment of this endowment is vested in the Foundation Board of Trustees.
F. In the unlikely event that the KA Endowed Chair in Electrical Engineering Fund does not meet the pledged amount, the Chancellor of UCR is authorized to re-designate the purpose of this Fund, taking into consideration the Donors' expressed wishes as to the designated purpose described in this document.

G. As is customary with universities and other non-profit organizations across the country, a one-time gift fee is applied to each pledge payment in order to provide essential support to UCR's advancement program. The fee is currently 5%. In addition, administrative fees will be charged in accordance with UCR policy.

H. The Fund shall exist in perpetuity.

IV. STEWARDSHIP

An endowed fund is a testament to the value the Donors place on intellectual and scientific achievement. During their lifetimes, the Donors will receive periodic stewardship reports from the University on the Fund.

The Donors agrees that the name of this Fund may be used in University Communications.

In addition, the Donors wish to remain anonymous and no public identification or acknowledgment shall be made of the Donors' gift, which uses the Donors' actual names or company name, whether in print or electronically.

ACCEPTANCES:

Amit K. Roy Chowdhury, Chair
Electrical and Computer Engineering
Bourns Family Faculty Fellow

Christopher S. Lynch, Dean
Marlan and Rosemary Bourns College of Engineering
William R. Johnson Jr. Family Chair

Kimberly McDade, UC Riverside Foundation

Peter Hayashida, Vice Chancellor
UCR Advancement

[Signatures and Dates]

Sep. 30, 2019
Date

9/30/19
Date

10/2/2019
Date

10/6/19
Date
REQUEST TO ESTABLISH A NAMED ENDOWED CHAIR

Proposed Name of Chair: KA Endowed Chair in Electrical and Computer Engineering

Task | Completed Date
--- | ---
☑ Dean/unit head completes and forwards materials to Development | April 3, 2020
☐ VC Advancement and AVC Development review and approve |
☐ Provost/EVC reviews and approves |
☐ [VC Planning & Budget reviews if budgetary impact] |
☐ Academic Senate consultation/recommendations |
☐ Provost/EVC requests Chancellor’s approval |
☐ Chancellor provides final endowed chair approval |

REQUEST

College/School/Unit: Marlan and Rosemary Bourns College of Engineering
☑ Check here if location of FTE is within the same unit, otherwise specify:
Unit head name/title: Christopher Lynch, Dean
Endowment gift type: ☑ Distinguished Professorship
(CHECK ONE) ☑ Endowed Chair
☐ Term Chair
☐ Visiting Professorship

Proposed use:

The KA Endowed Chair in Electrical and Computer Engineering will support exceptional faculty in the Department of Electrical and Computer Engineering (ECE).

ACADEMIC INFORMATION

Academic Justification – explain how the endowment fits into the unit’s academic plan:

The KA Endowed Chair in Electrical and Computer Engineering will be used to attract and retain exceptional faculty in the Department of Electrical and Computer Engineering. The chair holder will be selected in accordance with established University policies and procedures. The inaugural Chair will be an existing UCR faculty member. The Chair shall have a distinguished record of research, academic, and professional leadership. Research excellence will be evaluated by quality and quantity of peer reviewed publications, professional society recognition and awards (e.g. IEEE Fellow). The Chair’s academic and professional leadership will be demonstrated by service in significant positions within an academic department (e.g., chair), and/or a college (e.g., associate dean), and/or professional society (e.g., president). Preference will be given to faculty who publish research in the areas of navigation, estimation, and control.

The term for this chair shall be no longer than five (5) years and is renewable.

Resources – describe the resources that will be necessary to support the proposed chair (e.g., FTE and other funding.) Please refer to the unit’s academic plan as appropriate:

No additional resources are necessary to support the proposed chair. The chair holder will be supported with an existing FTE.

Term Limits
Five Years and Renewable (Standard terms per UCR Policy and Procedures on Endowed Chairs and Professorships)

☐ Other – explain:

**Gift/Donor Information**

<table>
<thead>
<tr>
<th>Total Gift Amount: $1,000,000 (two pledges of $500,000 each)</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Outright cash gift made on <em>(date)</em>:</td>
</tr>
<tr>
<td>☒ Written pledge commencing on <em>(date)</em>: October 16, 2019, to be fulfilled on <em>(date)</em>: December 20, 2019</td>
</tr>
<tr>
<td>Initial contribution/pledge payment expected on <em>(date)</em>:</td>
</tr>
</tbody>
</table>

- Donor name and UCR affiliation: or
- Will this gift/pledge be anonymous without publicity? ☒ Yes ☐ No

**Donor’s background:**
Donors are UCR alumni who both graduated from the Bourns College of Engineering and became successful entrepreneurs.

**Proposed chair name:** KA Endowed Chair in Electrical and Computer Engineering

- ☐ Check here if naming is honorary

**Unit/UCR/UCR Commitment**

- Will any additional college, campus-wide or system-wide resources be sought/required (e.g., space, special facilities, equipment, etc.)?
  - ☒ No
  - ☐ Yes. Describe source of additional resources:

- ☒ Existing FTE
- ☐ New FTE – please explain how this is provided for in unit academic plan:

**Certifications**

On behalf of the unit, I certify the following:

- The intellectual independence of the chair holder’s scholarly activities is assured.
- If the donation is being made anonymously, I attest to the experience, character, and reputation of the donor.
- The faculty member who is appointed to this chair will be notified of the annual reporting requirement as specified in UCR Policy 500-15: Policy and Procedures on Endowed Chairs: Establishment, Administration, and Appointment of Faculty.
- A diversity, equity, and inclusion plan will be created in advance of chair recruitment.
- Use of the chair for recruitment will be dependent on available campus budgetary resources.

I have attached the following documents:

- ☒ Letter from the college/school executive committee or department chair(s) on behalf of the affected departments, as defined by consultation between the dean and the chair of the college/school executive committee, endorsing this proposal.
- ☐ If naming is honorific, confirmation is attached that the honoree (if living) or honoree’s family (if honoree is deceased) has agreed to the naming.
Christopher S. Lynch, Dean
Marlan and Rosemary Bourns College of Engineering
William R. Johnson Jr. Family Chair

April 7, 2020
Date
## Approvals

<table>
<thead>
<tr>
<th>Kimberly McDade</th>
<th>4/7/2020</th>
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<tr>
<td>Vice President, Finance and CFO</td>
<td>Date</td>
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<th>4/7/2020</th>
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<tr>
<td>Interim Provost and Executive Vice Chancellor</td>
<td>Date</td>
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## Provost Transmittal

TO: Kim A. Wilcox, Chancellor, University of California, Riverside

I recommend the establishment of this endowed chair at UC Riverside, as detailed in the attached supporting materials.

This proposed chair has been reviewed and approved by the Academic Senate and the Provost/Executive Vice Chancellor.

<table>
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## Chancellor’s Approval

TO: Thomas M. Smith, Interim Provost and Executive Vice Chancellor

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<td>Date</td>
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December 6, 2019

Dean Christopher Lynch
446 Winston Chung Hall, UCR
Riverside, CA 92531

Dear Chris,

The Department of Electrical and Computer Engineering accepts the gift of $1M given by two anonymous donors to establish the KA Endowed Chair in Electrical Engineering. On December 4 2019, the faculty of the department voted unanimously (In-Favor, 16; Opposed, 0; Abstain, 0; Absent, 16) to accept the gift.

Sincerely,

Amit K Roy Chowdhury
Electrical and Computer Engineering Department Chair
Professor and Bourns Family Faculty Fellow

cc: Jed Schwendiman, Assistant Dean for Development
REQUEST TO ESTABLISH A NAMED ENDOWED CHAIR

Proposed Name of Chair: KA Endowed Chair in Electrical and Computer Engineering

Academic Information

Academic Justification – explain how the endowment fits into the unit’s academic plan:

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Term Limits
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Other – explain:

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Donor’s background:
Donors are UCR alumni who both graduated from the Bourns College of Engineering and became successful entrepreneurs.

Proposed chair name: KA Endowed Chair in Electrical and Computer Engineering

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**Unit/UCR/UC Commitment**

Will any *additional* college, campus-wide or system-wide resources be sought/ required (e.g., space, special facilities, equipment, etc.)?

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Christopher S. Lynch, Dean
Marlan and Rosemary Bourns College of Engineering
William R. Johnson Jr. Family Chair
APPROVALS

Kim McDade 4/7/2020
Kimberly McDade Date
Vice President, Finance and CFO

Peter Hayashida 4/7/2020
Peter A. Hayashida Date
Vice Chancellor, University Advancement

Thomas Smith 4/24/2020
Thomas M. Smith Date
Interim Provost and Executive Vice Chancellor

PROVOST TRANSMITTAL

TO: Kim A. Wilcox, Chancellor, University of California, Riverside

I recommend the establishment of this endowed chair at UC Riverside, as detailed in the attached supporting materials.

This proposed chair has been reviewed and approved by the Academic Senate and the Provost/Executive Vice Chancellor.

Thomas M. Smith Date
Interim Provost and Executive Vice Chancellor, University of California, Riverside

CHANCELLOR’S APPROVAL

TO: Thomas M. Smith, Interim Provost and Executive Vice Chancellor

I approve the establishment of this endowed chair at UC Riverside.

Kim A. Wilcox Date
Chancellor, University of California, Riverside
April 3, 2020

To: Christopher Lynch, Dean of the Bourns College of Engineering

Cc: Jed Schwendiman, Assistant Dean for Development, Bourns College of Engineering

From: Philip Brisk, Chair of the Faculty, Bourns College of Engineering

Dear Dean Lynch,

I write to inform you that on April 2, 2020, the BCOE Executive Committee voted unanimously in favor of the establishment of the KA Endowed Chair in Electrical and Computer Engineering.

The BCOE Executive Committee shares your belief that the resulting endowment will have a substantial impact on the College.